

## 5 Low-energy constants

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In the study of the quark-mass dependence of QCD observables calculated on the lattice, it is common practice to invoke chiral perturbation theory ( $\chi$ PT). For a given quantity this framework predicts the nonanalytic quark-mass dependence and it provides symmetry relations among different observables. These relations are best expressed with the help of a set of linearly independent and universal (i.e., process-independent) low-energy constants (LECs), which first appear as coefficients of the polynomial terms (in  $m_q$  or  $M_\pi^2$ ) in different observables. When numerical simulations are done at heavier than physical (light) quark masses,  $\chi$ PT is usually invoked in the extrapolation to physical quark masses.

### 5.1 Chiral perturbation theory

$\chi$ PT is an effective field theory approach to the low-energy properties of QCD based on the spontaneous breaking of chiral symmetry,  $SU(N_f)_L \times SU(N_f)_R \rightarrow SU(N_f)_{L+R}$ , and its soft explicit breaking by quark-mass terms. In its original implementation, in infinite volume, it is an expansion in  $m_q$  and  $p^2$  with power counting  $M_\pi^2 \sim m_q \sim p^2$ .

If one expands around the  $SU(2)$  chiral limit, there appear two LECs at order  $p^2$  in the chiral effective Lagrangian,

$$F \equiv F_\pi \Big|_{m_u, m_d \rightarrow 0} \quad \text{and} \quad B \equiv \frac{\Sigma}{F^2}, \quad \text{where} \quad \Sigma \equiv -\langle \bar{u}u \rangle \Big|_{m_u, m_d \rightarrow 0}, \quad (86)$$

and seven at order  $p^4$ , indicated by  $\bar{\ell}_i$  with  $i = 1, \dots, 7$ . In the analysis of the  $SU(3)$  chiral limit there are also just two LECs at order  $p^2$ ,

$$F_0 \equiv F_\pi \Big|_{m_u, m_d, m_s \rightarrow 0} \quad \text{and} \quad B_0 \equiv \frac{\Sigma_0}{F_0^2}, \quad \text{where} \quad \Sigma_0 \equiv -\langle \bar{u}u \rangle \Big|_{m_u, m_d, m_s \rightarrow 0}, \quad (87)$$

but ten at order  $p^4$ , indicated by the capital letter  $L_i(\mu)$  with  $i = 1, \dots, 10$ . These constants are independent of the quark masses,<sup>1</sup> but they become scale dependent after renormalization (sometimes a superscript  $r$  is added). The  $SU(2)$  constants  $\bar{\ell}_i$  are scale independent, since they are defined at scale  $\mu = M_{\pi, \text{phys}}$  (as indicated by the bar). For the precise definition of these constants and their scale dependence we refer the reader to Refs. [1, 2].

#### 5.1.1 Patterns of chiral symmetry breaking

If the box size is finite but large compared to the Compton wavelength of the pion,  $L \gg 1/M_\pi$ , the power counting generalizes to  $m_q \sim p^2 \sim 1/L^2$ , as one would assume based on the fact that  $p_{\text{min}} = 2\pi/L$  is the minimum momentum in a finite box with periodic boundary conditions in the spatial directions. This is the so-called  $p$ -regime of  $\chi$ PT. It coincides with the setting that is used for standard phenomenologically oriented lattice-QCD computations, and we shall consider the  $p$ -regime the default in the following. However, if the pion mass is so small

<sup>1</sup>More precisely, they are independent of the 2 or 3 light-quark masses that are explicitly considered in the respective framework. However, all low-energy constants depend on the masses of the remaining quarks  $s, c, b, t$  or  $c, b, t$  in the  $SU(2)$  and  $SU(3)$  framework, respectively, although the dependence on the masses of the  $c, b, t$  quarks is expected to be small.

that the box-length  $L$  is no longer large compared to the Compton wavelength that the pion would have, at the given  $m_q$ , in infinite volume, then the chiral series must be reordered. Such finite-volume versions of  $\chi$ PT with correspondingly adjusted power counting schemes, referred to as  $\epsilon$ - and  $\delta$ -regime, are described in Secs. 5.1.6 and 5.1.7, respectively.

Lattice calculations can be used to test if chiral symmetry is indeed spontaneously broken along the path  $SU(N_f)_L \times SU(N_f)_R \rightarrow SU(N_f)_{L+R}$  by measuring nonzero chiral condensates and by verifying the validity of the GMOR relation  $M_\pi^2 \propto m_q$  close to the chiral limit. If the chiral extrapolation of quantities calculated on the lattice is made with the help of fits to their  $\chi$ PT forms, apart from determining the observable at the physical value of the quark masses, one also obtains the relevant LECs. This is an important by-product for two reasons:

1. All LECs up to order  $p^4$  (with the exception of  $B$  and  $B_0$ , since only the product of these times the quark masses can be estimated from phenomenology) have either been determined by comparison to experiment or estimated theoretically, e.g., in large- $N_c$  QCD. A lattice determination of the better known LECs thus provides a test of the  $\chi$ PT approach.
2. The less well-known LECs are those which describe the quark-mass dependence of observables—these cannot be determined from experiment, and therefore the lattice, where quark masses can be varied, provides unique quantitative information. This information is essential for improving phenomenological  $\chi$ PT predictions in which these LECs play a role.

We stress that this program is based on the nonobvious assumption that  $\chi$ PT is valid in the region of masses and momenta used in the lattice simulations under consideration, something that can and should be checked. With the ability to create data at multiple values of the light-quark masses, lattice QCD offers the possibility to check the convergence of  $\chi$ PT. Lattice data may be used to verify that higher order contributions, for small enough quark masses, become increasingly unimportant. In the end one wants to compare lattice and phenomenological determinations of LECs, much in the spirit of Ref. [3]. An overview of many of the conceptual issues involved in matching lattice data to an effective field theory framework like  $\chi$ PT is given in Refs. [4–6].

The fact that, at large volume, the finite-size effects, which occur if a system undergoes spontaneous symmetry breakdown, are controlled by the Nambu-Goldstone modes, was first noted in solid state physics, in connection with magnetic systems [7, 8]. As pointed out in Ref. [9] in the context of QCD, the thermal properties of such systems can be studied in a systematic and model-independent manner by means of the corresponding effective field theory, provided the temperature is low enough. While finite volumes are not of physical interest in particle physics, lattice simulations are necessarily carried out in a finite box. As shown in Refs. [10–12], the ensuing finite-size effects can be studied on the basis of the effective theory— $\chi$ PT in the case of QCD—provided the simulation is close enough to the continuum limit, the volume is sufficiently large and the explicit breaking of chiral symmetry generated by the quark masses is sufficiently small. Indeed,  $\chi$ PT represents a useful tool for the analysis of the finite-size effects in lattice simulations.

In the remainder of this subsection we collect the relevant  $\chi$ PT formulae that will be used in the two following subsections to extract  $SU(2)$  and  $SU(3)$  LECs from lattice data.

### 5.1.2 Quark-mass dependence of pseudoscalar masses and decay constants

#### A. $SU(2)$ formulae

The expansions<sup>2</sup> of  $M_\pi^2$  and  $F_\pi$  in powers of the quark mass are known to next-to-next-to-leading order (NNLO) in the  $SU(2)$  chiral effective theory. In the isospin limit,  $m_u = m_d = m$ , the explicit expressions may be written in the form [13]

$$\begin{aligned} M_\pi^2 &= M^2 \left\{ 1 - \frac{1}{2}x \ln \frac{\Lambda_3^2}{M^2} + \frac{17}{8}x^2 \left( \ln \frac{\Lambda_M^2}{M^2} \right)^2 + x^2 k_M + \mathcal{O}(x^3) \right\}, \\ F_\pi &= F \left\{ 1 + x \ln \frac{\Lambda_4^2}{M^2} - \frac{5}{4}x^2 \left( \ln \frac{\Lambda_F^2}{M^2} \right)^2 + x^2 k_F + \mathcal{O}(x^3) \right\}. \end{aligned} \quad (88)$$

Here the expansion parameter is given by

$$x = \frac{M^2}{(4\pi F)^2}, \quad M^2 = 2Bm = \frac{2\Sigma m}{F^2}, \quad (89)$$

but there is another option as discussed below. The scales  $\Lambda_3, \Lambda_4$  are related to the effective coupling constants  $\bar{\ell}_3, \bar{\ell}_4$  of the chiral Lagrangian at scale  $\mu = M_{\pi, \text{phys}}$  by

$$\bar{\ell}_n = \ln \frac{\Lambda_n^2}{M_{\pi, \text{phys}}^2}, \quad n = 1, \dots, 7. \quad (90)$$

Note that in Eq.(88) the logarithms are evaluated at  $M^2$ , not at  $M_\pi^2$ . The coupling constants  $k_M, k_F$  in Eq. (88) are mass-independent. The scales of the squared logarithms can be expressed in terms of the  $\mathcal{O}(p^4)$  coupling constants as

$$\begin{aligned} \ln \frac{\Lambda_M^2}{M^2} &= \frac{1}{51} \left( 28 \ln \frac{\Lambda_1^2}{M^2} + 32 \ln \frac{\Lambda_2^2}{M^2} - 9 \ln \frac{\Lambda_3^2}{M^2} + 49 \right), \\ \ln \frac{\Lambda_F^2}{M^2} &= \frac{1}{30} \left( 14 \ln \frac{\Lambda_1^2}{M^2} + 16 \ln \frac{\Lambda_2^2}{M^2} + 6 \ln \frac{\Lambda_3^2}{M^2} - 6 \ln \frac{\Lambda_4^2}{M^2} + 23 \right). \end{aligned} \quad (91)$$

Hence by analysing the quark-mass dependence of  $M_\pi^2$  and  $F_\pi$  with Eq.(88), possibly truncated at NLO, one can determine<sup>3</sup> the  $\mathcal{O}(p^2)$  LECs  $B$  and  $F$ , as well as the  $\mathcal{O}(p^4)$  LECs  $\bar{\ell}_3$  and  $\bar{\ell}_4$ . The quark condensate in the chiral limit is given by  $\Sigma = F^2 B$ . With precise enough data at several small enough pion masses, one could in principle also determine  $\Lambda_M, \Lambda_F$  and  $k_M, k_F$ . To date this is not yet possible. The results for the LO and NLO constants will be presented in Sec. 5.2.

Alternatively, one can invert Eq. (88) and express  $M^2$  and  $F$  as an expansion in

$$\xi \equiv \frac{M_\pi^2}{16\pi^2 F_\pi^2}, \quad (92)$$

<sup>2</sup>Here and in the following, we stick to the notation used in the papers where the  $\chi$ PT formulae were established, i.e., we work with  $F_\pi \equiv f_\pi/\sqrt{2} = 92.2(1)$  MeV and  $F_K \equiv f_K/\sqrt{2}$ . The occurrence of different normalization conventions is not convenient, but avoiding it by reformulating the formulae in terms of  $f_\pi, f_K$  is not a good way out. Since we are using different symbols, confusion cannot arise.

<sup>3</sup>Notice that one could analyse the quark-mass dependence entirely in terms of the parameter  $M^2$  defined in Eq. (89) and determine equally well all other LECs. Using the determination of the quark masses described in Sec. 3 one can then extract  $B$  or  $\Sigma$ . No matter the strategy of extraction, determination of  $B$  or  $\Sigma$  requires knowledge of the scale and scheme dependent quark mass renormalization factor  $Z_m(\mu)$ .

and the corresponding expressions then take the form

$$\begin{aligned} M^2 &= M_\pi^2 \left\{ 1 + \frac{1}{2} \xi \ln \frac{\Lambda_3^2}{M_\pi^2} - \frac{5}{8} \xi^2 \left( \ln \frac{\Omega_M^2}{M_\pi^2} \right)^2 + \xi^2 c_M + \mathcal{O}(\xi^3) \right\}, \\ F &= F_\pi \left\{ 1 - \xi \ln \frac{\Lambda_4^2}{M_\pi^2} - \frac{1}{4} \xi^2 \left( \ln \frac{\Omega_F^2}{M_\pi^2} \right)^2 + \xi^2 c_F + \mathcal{O}(\xi^3) \right\}. \end{aligned} \quad (93)$$

The scales of the quadratic logarithms are determined by  $\Lambda_1, \dots, \Lambda_4$  through

$$\begin{aligned} \ln \frac{\Omega_M^2}{M_\pi^2} &= \frac{1}{15} \left( 28 \ln \frac{\Lambda_1^2}{M_\pi^2} + 32 \ln \frac{\Lambda_2^2}{M_\pi^2} - 33 \ln \frac{\Lambda_3^2}{M_\pi^2} - 12 \ln \frac{\Lambda_4^2}{M_\pi^2} + 52 \right), \\ \ln \frac{\Omega_F^2}{M_\pi^2} &= \frac{1}{3} \left( -7 \ln \frac{\Lambda_1^2}{M_\pi^2} - 8 \ln \frac{\Lambda_2^2}{M_\pi^2} + 18 \ln \frac{\Lambda_4^2}{M_\pi^2} - \frac{29}{2} \right). \end{aligned} \quad (94)$$

In practice, many results are expressed in terms of the LO constants  $F$  and  $\Sigma$  and the NLO constants  $\bar{\ell}_i$ . The LO constants relate to the LO constants used above through  $B = \Sigma/F^2$ . At the NLO the relation is a bit more involved, since the  $\bar{\ell}_i$  bear the notion of the *physical* pion mass, see (90). For instance, Eqs. (93) may be rewritten as

$$\begin{aligned} M^2 &= M_\pi^2 \left\{ 1 + \frac{1}{2} \xi \bar{\ell}_3 + \frac{1}{2} \xi \ln \frac{M_{\pi,\text{phys}}^2}{M_\pi^2} - \frac{5}{8} \xi^2 \left( \ln \frac{\Omega_M^2}{M_\pi^2} \right)^2 + \xi^2 c_M + \mathcal{O}(\xi^3) \right\}, \\ F &= F_\pi \left\{ 1 - \xi \bar{\ell}_4 - \xi \ln \frac{M_{\pi,\text{phys}}^2}{M_\pi^2} - \frac{1}{4} \xi^2 \left( \ln \frac{\Omega_F^2}{M_\pi^2} \right)^2 + \xi^2 c_F + \mathcal{O}(\xi^3) \right\}, \end{aligned} \quad (95)$$

and this implies that fitting some lattice data (say at a single lattice spacing  $a$ ) with Eq. (95) requires some a-priori knowledge of the lattice spacing. On the other hand, doing the same job with Eq. (93) yields the scales  $a\Lambda_3, a\Lambda_4$  in lattice units (which may be converted to  $\bar{\ell}_3, \bar{\ell}_4$  at a later stage of the analysis when the scale is known more precisely).

## B. $SU(3)$ formulae

While the formulae for the pseudoscalar masses and decay constants are known to NNLO for  $SU(3)$  as well [14], they are rather complicated and we restrict ourselves here to next-to-leading order (NLO). In the isospin limit, the relevant  $SU(3)$  formulae take the form [2]

$$\begin{aligned} M_\pi^2 &\stackrel{\text{NLO}}{=} 2B_0 m_{ud} \left\{ 1 + \mu_\pi - \frac{1}{3} \mu_\eta + \frac{B_0}{F_0^2} \left[ 16m_{ud}(2L_8 - L_5) + 16(m_s + 2m_{ud})(2L_6 - L_4) \right] \right\}, \\ M_K^2 &\stackrel{\text{NLO}}{=} B_0(m_s + m_{ud}) \left\{ 1 + \frac{2}{3} \mu_\eta + \frac{B_0}{F_0^2} \left[ 8(m_s + m_{ud})(2L_8 - L_5) + 16(m_s + 2m_{ud})(2L_6 - L_4) \right] \right\}, \\ F_\pi &\stackrel{\text{NLO}}{=} F_0 \left\{ 1 - 2\mu_\pi - \mu_K + \frac{B_0}{F_0^2} \left[ 8m_{ud}L_5 + 8(m_s + 2m_{ud})L_4 \right] \right\}, \\ F_K &\stackrel{\text{NLO}}{=} F_0 \left\{ 1 - \frac{3}{4} \mu_\pi - \frac{3}{2} \mu_K - \frac{3}{4} \mu_\eta + \frac{B_0}{F_0^2} \left[ 4(m_s + m_{ud})L_5 + 8(m_s + 2m_{ud})L_4 \right] \right\}, \end{aligned} \quad (96)$$

where  $m_{ud}$  is the joint up/down quark mass in the simulation [which may be taken different from the average light-quark mass  $\frac{1}{2}(m_u^{\text{phys}} + m_d^{\text{phys}})$  in the real world]. And  $B_0 = \Sigma_0/F_0^2$ ,  $F_0$

denote the condensate parameter and the pseudoscalar decay constant in the  $SU(3)$  chiral limit, respectively. In addition, we use the notation

$$\mu_P = \frac{M_P^2}{32\pi^2 F_0^2} \ln\left(\frac{M_P^2}{\mu^2}\right). \quad (97)$$

At the order of the chiral expansion used in these formulae, the quantities  $\mu_\pi$ ,  $\mu_K$ ,  $\mu_\eta$  can equally well be evaluated with the leading-order expressions for the masses,

$$M_\pi^2 \stackrel{\text{LO}}{=} 2B_0 m_{ud}, \quad M_K^2 \stackrel{\text{LO}}{=} B_0(m_s + m_{ud}), \quad M_\eta^2 \stackrel{\text{LO}}{=} \frac{2}{3}B_0(2m_s + m_{ud}). \quad (98)$$

Throughout,  $L_i$  denotes the renormalized low-energy constant/coupling (LEC) at scale  $\mu$ , and we adopt the convention that is standard in phenomenology,  $\mu = M_\rho = 770 \text{ MeV}$ . The normalization used for the decay constants is specified in footnote 2.

### 5.1.3 Pion form factors and charge radii

The scalar and vector form factors of the pion are defined by the matrix elements

$$\begin{aligned} \langle \pi^i(p_2) | \bar{q} q | \pi^k(p_1) \rangle &= \delta^{ik} F_S^\pi(t), \\ \langle \pi^i(p_2) | \bar{q} \frac{1}{2} \tau^j \gamma^\mu q | \pi^k(p_1) \rangle &= i \epsilon^{ijk} (p_1^\mu + p_2^\mu) F_V^\pi(t), \end{aligned} \quad (99)$$

where the operators contain only the lightest two quark flavours, i.e.,  $\tau^1, \tau^2, \tau^3$  are the Pauli matrices, and  $t \equiv (p_1 - p_2)^2$  denotes the momentum transfer.

The vector form factor has been measured by several experiments for time-like as well as for space-like values of  $t$ . The scalar form factor is not directly measurable, but it can be evaluated theoretically from data on the  $\pi\pi$  and  $\pi K$  phase shifts [15] by means of analyticity and unitarity, i.e., in a model-independent way. Lattice calculations can be compared with data or model-independent theoretical evaluations at any given value of  $t$ . At present, however, most lattice studies concentrate on the region close to  $t = 0$  and on the evaluation of the slope and curvature, which are defined as

$$\begin{aligned} F_V^\pi(t) &= 1 + \frac{1}{6} \langle r^2 \rangle_V^\pi t + c_V t^2 + \dots, \\ F_S^\pi(t) &= F_S^\pi(0) \left[ 1 + \frac{1}{6} \langle r^2 \rangle_S^\pi t + c_S t^2 + \dots \right]. \end{aligned} \quad (100)$$

The slopes are related to the mean-square vector and scalar radii, which are the quantities on which most experiments and lattice calculations concentrate.

In  $\chi$ Pt, the form factors are known at NNLO for  $SU(2)$  [16]. The corresponding formulae are available in fully analytical form and are compact enough that they can be used for the chiral extrapolation of the data (as done, for example, in Refs. [17, 18]). The expressions for the scalar and vector radii and for the  $c_{S,V}$  coefficients at 2-loop level in  $SU(2)$  terminology

read

$$\begin{aligned}
\langle r^2 \rangle_S^\pi &= \frac{1}{(4\pi F_\pi)^2} \left\{ 6 \ln \frac{\Lambda_4^2}{M_\pi^2} - \frac{13}{2} - \frac{29}{3} \xi \left( \ln \frac{\Omega_{r_S}^2}{M_\pi^2} \right)^2 + 6\xi k_{r_S} + \mathcal{O}(\xi^2) \right\}, \\
\langle r^2 \rangle_V^\pi &= \frac{1}{(4\pi F_\pi)^2} \left\{ \ln \frac{\Lambda_6^2}{M_\pi^2} - 1 + 2\xi \left( \ln \frac{\Omega_{r_V}^2}{M_\pi^2} \right)^2 + 6\xi k_{r_V} + \mathcal{O}(\xi^2) \right\}, \\
c_S &= \frac{1}{(4\pi F_\pi M_\pi)^2} \left\{ \frac{19}{120} + \xi \left[ \frac{43}{36} \left( \ln \frac{\Omega_{c_S}^2}{M_\pi^2} \right)^2 + k_{c_S} \right] \right\}, \\
c_V &= \frac{1}{(4\pi F_\pi M_\pi)^2} \left\{ \frac{1}{60} + \xi \left[ \frac{1}{72} \left( \ln \frac{\Omega_{c_V}^2}{M_\pi^2} \right)^2 + k_{c_V} \right] \right\},
\end{aligned} \tag{101}$$

where

$$\begin{aligned}
\ln \frac{\Omega_{r_S}^2}{M_\pi^2} &= \frac{1}{29} \left( 31 \ln \frac{\Lambda_1^2}{M_\pi^2} + 34 \ln \frac{\Lambda_2^2}{M_\pi^2} - 36 \ln \frac{\Lambda_4^2}{M_\pi^2} + \frac{145}{24} \right), \\
\ln \frac{\Omega_{r_V}^2}{M_\pi^2} &= \frac{1}{2} \left( \ln \frac{\Lambda_1^2}{M_\pi^2} - \ln \frac{\Lambda_2^2}{M_\pi^2} + \ln \frac{\Lambda_4^2}{M_\pi^2} + \ln \frac{\Lambda_6^2}{M_\pi^2} - \frac{31}{12} \right), \\
\ln \frac{\Omega_{c_S}^2}{M_\pi^2} &= \frac{43}{63} \left( 11 \ln \frac{\Lambda_1^2}{M_\pi^2} + 14 \ln \frac{\Lambda_2^2}{M_\pi^2} + 18 \ln \frac{\Lambda_4^2}{M_\pi^2} - \frac{6041}{120} \right), \\
\ln \frac{\Omega_{c_V}^2}{M_\pi^2} &= \frac{1}{72} \left( 2 \ln \frac{\Lambda_1^2}{M_\pi^2} - 2 \ln \frac{\Lambda_2^2}{M_\pi^2} - \ln \frac{\Lambda_6^2}{M_\pi^2} - \frac{26}{30} \right),
\end{aligned} \tag{102}$$

and  $k_{r_S}, k_{r_V}$  and  $k_{c_S}, k_{c_V}$  are independent of the quark masses. Their expression in terms of the  $\ell_i$  and of the  $\mathcal{O}(p^6)$  constants  $c_M, c_F$  is known but will not be reproduced here.

The  $SU(3)$  formula for the slope of the pion vector form factor reads, to NLO [19],

$$\langle r^2 \rangle_V^\pi \stackrel{\text{NLO}}{=} -\frac{1}{32\pi^2 F_0^2} \left\{ 3 + 2 \ln \frac{M_\pi^2}{\mu^2} + \ln \frac{M_K^2}{\mu^2} \right\} + \frac{12L_9}{F_0^2}, \tag{103}$$

while the expression  $\langle r^2 \rangle_S^{\text{oct}}$  for the octet part of the scalar radius does not contain any NLO low-energy constant at 1-loop order [19]—contrary to the situation in  $SU(2)$ , see Eq. (101).

The difference between the quark-line connected and the full (i.e., containing the connected and the disconnected pieces) scalar pion form factor has been investigated by means of  $\chi$ PT in Ref. [20]. It is expected that the technique used can be applied to a large class of observables relevant in QCD phenomenology.

As a point of practical interest let us remark that there are no finite-volume correction formulae for the mean-square radii  $\langle r^2 \rangle_{V,S}$  and the curvatures  $c_{V,S}$ . The lattice data for  $F_{V,S}(t)$  need to be corrected, point by point in  $t$ , for finite-volume effects. In fact, if a given  $\sqrt{t}$  is realized through several inequivalent  $p_1 - p_2$  combinations, the level of agreement after the correction has been applied is indicative of how well higher-order and finite-volume effects are under control.

### 5.1.4 Goldstone boson scattering in a finite volume

The scattering of pseudoscalar octet mesons off each other (mostly  $\pi$ - $\pi$  and  $\pi$ - $K$  scattering) is a useful approach to determine  $\chi$ PT low-energy constants [13, 21–24]. This statement holds true both in experiment and on the lattice. We would like to point out that the main difference between these approaches is not so much the discretization of space-time, but rather the Minkowskian versus Euclidean setup.

In infinite-volume Minkowski space-time, 4-point Green’s functions can be evaluated (e.g., in experiment) for a continuous range of (on-shell) momenta, as captured, for instance, by the Mandelstam variable  $s$ . For a given isospin channel  $I = 0$  or  $I = 2$  the  $\pi$ - $\pi$  scattering phase shift  $\delta^I(s)$  can be determined for a variety of  $s$  values, and by matching to  $\chi$ PT some low-energy constants can be determined (see below). In infinite-volume Euclidean space-time, such 4-point Green’s functions can only be evaluated at kinematic thresholds; this is the content of the so-called Maiani-Testa theorem [25]. However, in the Euclidean case, the finite volume comes to our rescue, as first pointed out by Lüscher [26–29]. By comparing the energy of the (interacting) two-pion system in a box with finite spatial extent  $L$  to twice the energy of a pion (with identical bare parameters) in infinite volume information on the scattering length can be obtained. In particular in the (somewhat idealized) situation where one can “scan” through a narrowly spaced set of box-sizes  $L$  such information can be reconstructed in an efficient way.

We begin with a brief summary of the relevant formulae from  $\chi$ PT in  $SU(2)$  terminology. In the  $x$ -expansion the formulae for  $a_\ell^I$  with  $\ell = 0$  and  $I = 0, 2$  are found in Ref. [1]

$$a_0^0 M_\pi = + \frac{7M^2}{32\pi F^2} \left\{ 1 + \frac{5M^2}{84\pi^2 F^2} \left[ \bar{\ell}_1 + 2\bar{\ell}_2 - \frac{9}{10}\bar{\ell}_3 + \frac{21}{8} \right] + \mathcal{O}(x^2) \right\}, \quad (104)$$

$$a_0^2 M_\pi = - \frac{M^2}{16\pi F^2} \left\{ 1 - \frac{M^2}{12\pi^2 F^2} \left[ \bar{\ell}_1 + 2\bar{\ell}_2 + \frac{3}{8} \right] + \mathcal{O}(x^2) \right\}, \quad (105)$$

where we deviate from the  $\chi$ PT habit of absorbing a factor  $-M_\pi$  into the scattering length (relative to the convention used in quantum mechanics), since we include just a minus sign but not the factor  $M_\pi$ . Hence, our  $a_\ell^I$  have the dimension of a length so that all quark- or pion-mass dependence is explicit (as is most convenient for the lattice community). But the sign convention is the one of the chiral community (where  $a_\ell^I M_\pi > 0$  means attraction and  $a_\ell^I M_\pi < 0$  means repulsion).

An important difference between the two scattering lengths is evident already at tree-level. The isospin-0  $S$ -wave scattering length (104) is large and positive, while the isospin-2 counterpart (105) is by a factor  $\sim 3.5$  smaller (in absolute magnitude) and negative. Hence, in the channel with  $I = 0$  the interaction is *attractive*, while in the channel with  $I = 2$  the interaction is *repulsive* and significantly weaker. In this convention experimental results, evaluated with the unitarity constraint genuine to any local quantum field theory, read  $a_0^0 M_\pi = 0.2198(46)_{\text{stat}}(16)_{\text{syst}}(64)_{\text{theo}}$  and  $a_0^2 M_\pi = -0.0445(11)_{\text{stat}}(4)_{\text{syst}}(8)_{\text{theo}}$  [13, 30–32]. The ratio between the two (absolute) central values is larger than 3.5, and this suggests that NLO contributions to  $a_0^0$  might be more relevant than NLO contributions to  $a_0^2$ .

By means of  $M^2/(4\pi F)^2 = M_\pi^2/(4\pi F_\pi)^2 \{ 1 + \frac{1}{2}\xi \ln(\Lambda_3^2/M_\pi^2) + 2\xi \ln(\Lambda_4^2/M_\pi^2) + \mathcal{O}(\xi^2) \}$  or equivalently through  $M^2/(4\pi F)^2 = M_\pi^2/(4\pi F_\pi)^2 \{ 1 + \frac{1}{2}\xi \bar{\ell}_3 + 2\xi \bar{\ell}_4 + \mathcal{O}(\xi^2) \}$  Eqs. (104, 105)



may be brought into the form

$$a_0^0 M_\pi = +\frac{7M_\pi^2}{32\pi F_\pi^2} \left\{ 1 + \xi \frac{1}{2} \bar{\ell}_3 + \xi 2\bar{\ell}_4 + \xi \left[ \frac{20}{21} \bar{\ell}_1 + \frac{40}{21} \bar{\ell}_2 - \frac{18}{21} \bar{\ell}_3 + \frac{5}{2} \right] + \mathcal{O}(\xi^2) \right\}, \quad (106)$$

$$a_0^2 M_\pi = -\frac{M_\pi^2}{16\pi F_\pi^2} \left\{ 1 + \xi \frac{1}{2} \bar{\ell}_3 + \xi 2\bar{\ell}_4 - \xi \left[ \frac{4}{3} \bar{\ell}_1 + \frac{8}{3} \bar{\ell}_2 + \frac{1}{2} \right] + \mathcal{O}(\xi^2) \right\}. \quad (107)$$

Finally, this expression can be summarized as

$$a_0^0 M_\pi = +\frac{7M_\pi^2}{32\pi F_\pi^2} \left\{ 1 + \frac{9M_\pi^2}{32\pi^2 F_\pi^2} \ln \frac{(\lambda_0^0)^2}{M_\pi^2} + \mathcal{O}(\xi^2) \right\}, \quad (108)$$

$$a_0^2 M_\pi = -\frac{M_\pi^2}{16\pi F_\pi^2} \left\{ 1 - \frac{3M_\pi^2}{32\pi^2 F_\pi^2} \ln \frac{(\lambda_0^2)^2}{M_\pi^2} + \mathcal{O}(\xi^2) \right\}, \quad (109)$$

with the abbreviations

$$\frac{9}{2} \ln \frac{(\lambda_0^0)^2}{M_{\pi,\text{phys}}^2} = \frac{20}{21} \bar{\ell}_1 + \frac{40}{21} \bar{\ell}_2 - \frac{5}{14} \bar{\ell}_3 + 2\bar{\ell}_4 + \frac{5}{2}, \quad (110)$$

$$\frac{3}{2} \ln \frac{(\lambda_0^2)^2}{M_{\pi,\text{phys}}^2} = \frac{4}{3} \bar{\ell}_1 + \frac{8}{3} \bar{\ell}_2 - \frac{1}{2} \bar{\ell}_3 - 2\bar{\ell}_4 + \frac{1}{2}, \quad (111)$$

where  $\lambda_\ell^I$  with  $\ell = 0$  and  $I = 0, 2$  are scales like the  $\Lambda_i$  in  $\bar{\ell}_i = \ln(\Lambda_i^2/M_{\pi,\text{phys}}^2)$  for  $i \in \{1, 2, 3, 4\}$  (albeit they are not independent from the latter). Here we made use of the fact that  $M_\pi^2/M_{\pi,\text{phys}}^2 = 1 + \mathcal{O}(\xi)$  and thus  $\xi \ln(M_\pi^2/M_{\pi,\text{phys}}^2) = \mathcal{O}(\xi^2)$ . In the absence of any knowledge on the  $\bar{\ell}_i$  one would assume  $\lambda_0^0 \simeq \lambda_0^2$ , and with this input Eqs. (108, 109) suggest that the NLO contribution to  $|a_0^0|$  is by a factor  $\sim 9$  larger than the NLO contribution to  $|a_0^2|$ . The experimental numbers quoted before clearly support this view.

Given that all of this sounds like a complete success story for the determination of the scattering lengths  $a_0^0$  and  $a_0^2$ , one may wonder whether lattice QCD is helpful at all. It is, because the “experimental” evaluation of these scattering lengths builds on a constraint between these two quantities that, in turn, is based on a (rather nontrivial) dispersive evaluation of scattering phase shifts [13, 30–32]. Hence, to overcome this possible loophole, an independent lattice determination of  $a_0^0$  and/or  $a_0^2$  is highly welcome.

On the lattice  $a_0^2$  is much easier to determine than  $a_0^0$ , since the former quantity does not involve quark-line disconnected contributions. The main upshot of such activities (to be reviewed below) is that the lattice determination of  $a_0^2 M_\pi$  at the physical mass point is in perfect agreement with the experimental numbers quoted before, thus supporting the view that the scalar condensate is—at least in the  $SU(2)$  case—the dominant order parameter, and the original estimate  $\bar{\ell}_3 = 2.9 \pm 2.4$  is correct (see below). Still, from a lattice perspective it is natural to see a determination of  $a_0^0 M_\pi$  and/or  $a_0^2 M_\pi$  as a means to access the specific linear combinations of  $\bar{\ell}_i$  with  $i \in \{1, 2, 3, 4\}$  defined in Eqs. (110, 111).

In passing we note that an alternative version of Eqs. (108, 109) is used in the literature, too. For instance Refs. [33–37] give their results in the form

$$a_0^0 M_\pi = +\frac{7M_\pi^2}{32\pi F_\pi^2} \left\{ 1 + \frac{M_\pi^2}{32\pi^2 F_\pi^2} \left[ \ell_{\pi\pi}^{I=0} + 5 - 9 \ln \frac{M_\pi^2}{2F_\pi^2} \right] + \mathcal{O}(\xi^2) \right\}, \quad (112)$$

$$a_0^2 M_\pi = -\frac{M_\pi^2}{16\pi F_\pi^2} \left\{ 1 - \frac{M_\pi^2}{32\pi^2 F_\pi^2} \left[ \ell_{\pi\pi}^{I=2} + 1 - 3 \ln \frac{M_\pi^2}{2F_\pi^2} \right] + \mathcal{O}(\xi^2) \right\}, \quad (113)$$



where the quantities (used to quote the results of the lattice calculation)

$$\ell_{\pi\pi}^{I=0} = \frac{40}{21}\bar{\ell}_1 + \frac{80}{21}\bar{\ell}_2 - \frac{5}{7}\bar{\ell}_3 + 4\bar{\ell}_4 + 9 \ln \frac{M_{\pi,\text{phys}}^2}{2F_{\pi,\text{phys}}^2}, \quad (114)$$

$$\ell_{\pi\pi}^{I=2} = \frac{8}{3}\bar{\ell}_1 + \frac{16}{3}\bar{\ell}_2 - \bar{\ell}_3 - 4\bar{\ell}_4 + 3 \ln \frac{M_{\pi,\text{phys}}^2}{2F_{\pi,\text{phys}}^2}, \quad (115)$$

amount to linear combinations of the  $\ell_i^{\text{ren}}(\mu^{\text{ren}})$  that, due to the explicit logarithms in Eqs. (114, 115), are effectively renormalized at the scale  $\mu_{\text{ren}} = f_{\pi,\text{phys}} = \sqrt{2}F_{\pi,\text{phys}}$ . Note that in these equations the dependence on the *physical* pion mass in the logarithms cancels the one that comes from the  $\bar{\ell}_i$ , so that the left-hand-sides bear no knowledge of  $M_{\pi,\text{phys}}$ . This alternative form is slightly different from Eqs. (108, 109). Exact equality would be reached upon substituting  $F_\pi^2 \rightarrow F_{\pi,\text{phys}}^2$  in the logarithms of Eqs. (112, 113). Upon expanding  $F_\pi^2/F_{\pi,\text{phys}}^2$  and subsequently the logarithm, one realizes that this difference amounts to a term  $\mathcal{O}(\xi)$  within the square bracket. It thus makes up for a difference at the NNLO, which is beyond the scope of these formulae.

We close by mentioning a few works that elaborate on specific issues in  $\pi$ - $\pi$  scattering relevant to the lattice. Ref. [38] does mixed action  $\chi$ PT for 2 and 2+1 flavors of staggered sea quarks and Ginsparg-Wilson valence quarks, Refs. [39, 40] work out scattering formulae in Wilson fermion  $\chi$ PT, and Ref. [41] lists connected and disconnected contractions in  $\pi$ - $\pi$  scattering.

### 5.1.5 Partially quenched and mixed action formulations

The term ‘‘partially quenched QCD’’ is used in two ways. For heavy quarks ( $c, b$  and sometimes  $s$ ) it usually means that these flavours are included in the valence sector, but not into the functional determinant, i.e., the sea sector. For the light quarks ( $u, d$  and sometimes  $s$ ) it means that they are present in both the valence and the sea sector of the theory, but with different masses (e.g., a series of valence quark masses is evaluated on an ensemble with fixed sea-quark masses)

The program of extending the standard (unitary)  $SU(3)$  theory to the (second version of) ‘‘partially quenched QCD’’ has been completed at the 2-loop (NNLO) level for masses and decay constants [42]. These formulae tend to be complicated, with the consequence that a state-of-the-art analysis with  $\mathcal{O}(2000)$  bootstrap samples on  $\mathcal{O}(20)$  ensembles with  $\mathcal{O}(5)$  masses each [and hence  $\mathcal{O}(200\,000)$  different fits] will require significant computational resources. For a summary of recent developments in  $\chi$ PT relevant to lattice QCD we refer to Ref. [43]. The  $SU(2)$  partially quenched formulae can be obtained from the  $SU(3)$  ones by ‘‘integrating out the strange quark’’; this involves a matching of the two theories. At NLO, they can be found in Ref. [44] by setting the lattice artifact terms from the staggered  $\chi$ PT form to zero.

The theoretical underpinning of how ‘‘partial quenching’’ is to be understood in the (properly extended) chiral framework is given in Ref. [45]. Specifically, for partially quenched QCD with staggered quarks it is shown that a transfer matrix can be constructed that is not Hermitian but bounded, and can thus be used to construct correlation functions in the usual way. The program of calculating all observables in the  $p$ -regime in finite-volume to two loops, first completed in the unitary theory [46, 47], has been carried out for the partially quenched case, too [48].

A further extension of the  $\chi$ PT framework concerns the lattice effects that arise in partially quenched simulations where sea and valence quarks are implemented with different lattice fermion actions [49–56]. This extension is usually referred to as “mixed-action  $\chi$ PT” or “mixed-action partially-quenched  $\chi$ PT”.

### 5.1.6 Correlation functions in the $\epsilon$ -regime

The finite-size effects encountered in lattice calculations can be used to determine some of the LECs of QCD. In order to illustrate this point, we focus on the two lightest quarks, take the isospin limit  $m_u = m_d = m$  and consider a box of size  $L_s$  in the three space directions and size  $L_t$  in the time direction. If  $m$  is sent to zero at fixed box size, chiral symmetry is restored, and the zero-momentum mode of the pion field becomes nonperturbative. An intuitive way to understand the regime with  $ML < 1$  ( $L = L_s \lesssim L_t$ ) starts from considering the pion propagator  $G(p) = 1/(p^2 + M^2)$  in finite volume. For  $ML \gtrsim 1$  and  $p \sim 1/L$ ,  $G(p) \sim L^2$  for small momenta, including  $p = 0$ . But when  $M$  becomes of order  $1/L^2$ ,  $G(0) \propto L^4 \gg G(p \neq 0) \sim L^2$ . The  $p = 0$  mode of the pion field becomes nonperturbative, and the integration over this mode restores chiral symmetry in the limit  $m \rightarrow 0$ .

The pion effective action for the zero-momentum field depends only on the combination  $\mu = m\Sigma V$ , the symmetry-restoration parameter, where  $V = L_s^3 L_t$  [57]. In the  $\epsilon$ -regime, where  $ML \ll 1$  with  $L \equiv V^{1/4}$  and hence  $m \ll 1/(2BL^2)$ , all other terms in the effective action are sub-dominant in powers of  $\epsilon \sim 1/L$ . This amounts to a reordering of the chiral expansion, based on  $m \sim \epsilon^4$  in the  $\epsilon$ -regime [57]. In the  $p$ -regime, with  $m \sim \epsilon^2$  or equivalently  $ML \gtrsim 1$ , finite-volume corrections are of order  $\int d^4p e^{ipx} G(p)|_{x \sim L} \sim e^{-ML}$ . In the  $\epsilon$ -regime the chiral expansion is an expansion in powers of  $1/(\Lambda_{\text{QCD}} L) \sim 1/(FL)$ .

As an example, we consider the correlator of the axial charge carried by the two lightest quarks,  $q(x) = \{u(x), d(x)\}$ . The axial current and the pseudoscalar density are given by

$$A_\mu^i(x) = \bar{q}(x) \frac{1}{2} \tau^i \gamma_\mu \gamma_5 q(x), \quad P^i(x) = \bar{q}(x) \frac{1}{2} \tau^i i \gamma_5 q(x), \quad (116)$$

where  $\tau^1, \tau^2, \tau^3$  are the Pauli matrices in flavour space. In Euclidean space, the correlators (at zero spatial momentum) of the axial charge and the pseudoscalar density are given by

$$\delta^{ik} C_{AA}(t) = L_s^3 \int d^3 \vec{x} \langle A_4^i(\vec{x}, t) A_4^k(0) \rangle, \quad (117)$$

$$\delta^{ik} C_{PP}(t) = L_s^3 \int d^3 \vec{x} \langle P^i(\vec{x}, t) P^k(0) \rangle.$$

$\chi$ PT yields explicit finite-size scaling formulae for these quantities [12, 58, 59]. In the  $\epsilon$ -regime, the expansion starts with

$$\begin{aligned} C_{AA}(t) &= \frac{F^2 L_s^3}{L_t} \left[ a_A + \frac{L_t}{F^2 L_s^3} b_A h_1 \left( \frac{t}{L_t} \right) + \mathcal{O}(\epsilon^4) \right], \\ C_{PP}(t) &= \Sigma^2 L_s^6 \left[ a_P + \frac{L_t}{F^2 L_s^3} b_P h_1 \left( \frac{t}{L_t} \right) + \mathcal{O}(\epsilon^4) \right], \end{aligned} \quad (118)$$

where the coefficients  $a_A, b_A, a_P, b_P$  stand for quantities of  $\mathcal{O}(\epsilon^0)$ . They can be expressed in terms of the variables  $L_s, L_t$  and  $m$  and involve only the two leading low-energy constants  $F$  and  $\Sigma$ . In fact, at leading order only the combination  $\mu = m \Sigma L_s^3 L_t$  matters, the correlators are  $t$ -independent and the dependence on  $\mu$  is fully determined by the structure of the groups

involved in the pattern of spontaneous symmetry breaking. In the case of  $SU(2) \times SU(2) \rightarrow SU(2)$ , relevant for QCD in the symmetry restoration region with two light quarks, the coefficients can be expressed in terms of Bessel functions. The  $t$ -dependence of the correlators starts showing up at  $\mathcal{O}(\epsilon^2)$ , in the form of a parabola, viz.,  $h_1(\tau) = \frac{1}{2} \left[ \left( \tau - \frac{1}{2} \right)^2 - \frac{1}{12} \right]$ . Explicit expressions for  $a_A, b_A, a_P, b_P$  can be found in Refs. [12, 58, 59], where some of the correlation functions are worked out to NNLO. By matching the finite-size scaling of correlators computed on the lattice with these predictions one can extract  $F$  and  $\Sigma$ . A way to deal with the numerical challenges germane to the  $\epsilon$ -regime has been described [60].

The fact that the representation of the correlators to NLO is not “contaminated” by higher-order unknown LECs, makes the  $\epsilon$ -regime potentially convenient for a clean extraction of the LO couplings. The determination of these LECs is then affected by different systematic uncertainties with respect to the standard case; simulations in this regime yield complementary information that can serve as a valuable cross-check to get a comprehensive picture of the low-energy properties of QCD.

The effective theory can also be used to study the distribution of the topological charge in QCD [57] and the various quantities of interest may be defined for a fixed value of this charge. The expectation values and correlation functions then not only depend on the symmetry restoration parameter  $\mu$ , but also on the topological charge  $\nu$ . The dependence on these two variables can explicitly be calculated. It turns out that the two-point correlation functions considered above retain the form (118), but the coefficients  $a_A, b_A, a_P, b_P$  now depend on the topological charge as well as on the symmetry restoration parameter (see Refs. [61–63] for explicit expressions).

A specific issue with  $\epsilon$ -regime calculations is the scale setting. Ideally one would perform a  $p$ -regime study with the same bare parameters to measure a hadronic scale (e.g., the proton mass). In the literature, sometimes a gluonic scale, like the static force scale  $r_0$  [64] or the gradient flow scales  $t_0$  [65] or  $w_0$  [66], is used to avoid such expenses. However, it seems not entirely obvious to us that it is legitimate to identify such a gluonic scale with the length determined in the  $p$ -regime (e.g., by using  $r_0 \simeq 0.48$  fm).

It is important to stress that in the  $\epsilon$ -expansion higher-order finite-volume corrections might be significant, and the physical box size (in fm) should still be large in order to keep these distortions under control. The criteria for the chiral extrapolation and finite-volume effects are obviously different with respect to the  $p$ -regime. For these reasons we have to adjust the colour coding defined in Sec. 2.1 (see Sec. 5.2 for more details).

Recently, the effective theory has been extended to the “mixed regime” where some quarks are in the  $p$ -regime and some in the  $\epsilon$ -regime [67, 68]. In Ref. [69] a technique is proposed to smoothly connect the  $p$ - and  $\epsilon$ -regimes. In Ref. [70] the issue is reconsidered with a counting rule that is essentially the same as in the  $p$ -regime. In this new scheme, one can treat the IR fluctuations of the zero-mode nonperturbatively, while keeping the logarithmic quark-mass dependence of the  $p$ -regime.

Also first steps towards calculating higher  $n$ -point functions in the  $\epsilon$ -regime have been taken. For instance the electromagnetic pion form factor in QCD has been calculated to NLO in the  $\epsilon$ -expansion, and a way to get rid of the pion zero-momentum part has been proposed [71].

### 5.1.7 Energy levels of the QCD Hamiltonian in a box and $\delta$ -regime

At low temperature, the properties of the partition function are governed by the lowest eigenvalues of the Hamiltonian. In the case of QCD, the lowest levels are due to the Nambu-Goldstone bosons and can be worked out with  $\chi$ PT [72]. In the chiral limit the level pattern follows the one of a quantum-mechanical rotator, i.e.,  $E_\ell = \ell(\ell + 1)/(2\Theta)$  with  $\ell = 0, 1, 2, \dots$ . For a cubic spatial box and to leading order in the expansion in inverse powers of the box size  $L_s$ , the moment of inertia is fixed by the value of the pion decay constant in the chiral limit, i.e.,  $\Theta = F^2 L_s^3$ .

In order to analyse the dependence of the levels on the quark masses and on the parameters that specify the size of the box, a reordering of the chiral series is required, the so-called  $\delta$ -expansion. Regarding the spatial box-size, this regime is similar to the  $\epsilon$ -regime, i.e.,  $ML_s \ll 1$ , where  $M = \sqrt{2Bm}$  is the mass the pion *would have* in infinite volume. But the temporal box size is effectively infinite, since  $1 \ll ML_t$  (and  $ML_t \ll 4\pi FL_t$  to enable the chiral approach at all), whereupon  $L_s \ll L_t$ . The region where the properties of the system are controlled by this expansion is referred to as the  $\delta$ -regime [72]. Evaluating the chiral series in this regime, one finds that the expansion of the partition function goes in even inverse powers of  $FL_s$ , that the rotator formula for the energy levels holds up to NNLO and the expression for the moment of inertia is now also known up to and including terms of order  $(FL_s)^{-4}$  [73–75]. Since the level spectrum is governed by the value of the pion decay constant in the chiral limit, an evaluation of this spectrum on the lattice can be used to measure  $F$ . More generally, the evaluation of various observables in the  $\delta$ -regime offers an alternative method for a determination of some of the low-energy constants occurring in the effective Lagrangian. At present, however, the numerical results obtained in this way [76, 77] are not yet competitive with those found in the  $p$ - or  $\epsilon$ -regime. For recent theoretical investigations concerning the  $\delta$ -regime and how it matches onto the  $\epsilon$ -regime see Refs. [78, 79].

### 5.1.8 Other methods for the extraction of the low-energy constants

An observable that can be used to extract LECs is the topological susceptibility

$$\chi_t = \int d^4x \langle \omega(x)\omega(0) \rangle, \quad (119)$$

where  $\omega(x)$  is the topological charge density,

$$\omega(x) = \frac{1}{32\pi^2} \epsilon^{\mu\nu\rho\sigma} \text{Tr} [F_{\mu\nu}(x)F_{\rho\sigma}(x)]. \quad (120)$$

At infinite volume, the expansion of  $\chi_t$  in powers of the quark masses starts with [80]

$$\chi_t = \bar{m} \Sigma \{1 + \mathcal{O}(m)\}, \quad \bar{m} \equiv \left( \frac{1}{m_u} + \frac{1}{m_d} + \frac{1}{m_s} + \dots \right)^{-1}. \quad (121)$$

The condensate  $\Sigma$  can thus be extracted from the properties of the topological susceptibility close to the chiral limit. The behaviour at finite volume, in particular in the region where the symmetry is restored, is discussed in Ref. [59]. The dependence on the vacuum angle  $\theta$  and the projection on sectors of fixed  $\nu$  have been studied in Ref. [57]. For a discussion of the finite-size effects at NLO, including the dependence on  $\theta$ , we refer to Refs. [63, 81].

The role that the topological susceptibility plays in attempts to determine whether there is a large paramagnetic suppression when going from the  $N_f = 2$  to the  $N_f = 2 + 1$  theory has been highlighted in Ref. [82]. And the potential usefulness of higher moments of the topological charge distribution to determine LECs has been investigated in Ref. [83].

Another method for computing the quark condensate has been proposed in Ref. [84], where it is shown that starting from the Banks-Casher relation [85] one may extract the condensate from suitable (renormalizable) spectral observables, for instance the number of Dirac operator modes in a given interval. For those spectral observables higher-order corrections can be systematically computed in terms of the chiral effective theory. For recent implementations of this strategy, see Refs. [86–88]. As an aside let us remark that corrections to the Banks-Casher relation that come from a finite quark mass, a finite four-dimensional volume and (with Wilson-type fermions) a finite lattice spacing can be parameterized in a properly extended version of the chiral framework [89, 90].

An alternative strategy is based on the fact that at LO in the  $\epsilon$ -expansion the partition function in a given topological sector  $\nu$  is equivalent to the one of a chiral Random Matrix Theory (RMT) [91–94]. In RMT it is possible to extract the probability distributions of individual eigenvalues [95–97] in terms of two dimensionless variables  $\zeta = \lambda\Sigma V$  and  $\mu = m\Sigma V$ , where  $\lambda$  represents the eigenvalue of the massless Dirac operator and  $m$  is the sea quark mass. More recently this approach has been extended to the Hermitian (Wilson) Dirac operator [98], which is easier to study in numerical simulations. Hence, if it is possible to match the QCD low-lying spectrum of the Dirac operator to the RMT predictions, then one may extract<sup>4</sup> the chiral condensate  $\Sigma$ . One issue with this method is that for the distributions of individual eigenvalues higher-order corrections are still not known in the effective theory, and this may introduce systematic effects that are hard<sup>5</sup> to control. Another open question is that, while it is clear how the spectral density is renormalized [102], this is not the case for the individual eigenvalues, and one relies on assumptions. There have been many lattice studies [103–107] that investigate the matching of the low-lying Dirac spectrum with RMT. In this review the results of the LECs obtained in this way<sup>6</sup> are not included.

## 5.2 Extraction of $SU(2)$ low-energy constants

In this and the following subsections we summarize the lattice results for the  $SU(2)$  and  $SU(3)$  LECs, respectively. In either case we first discuss the  $\mathcal{O}(p^2)$  constants and then proceed to their  $\mathcal{O}(p^4)$  counterparts. The  $\mathcal{O}(p^2)$  LECs are determined from the chiral extrapolation of masses and decay constants or, alternatively, from a finite-size study of correlators in the  $\epsilon$ -regime. At order  $p^4$  some LECs affect two-point functions while others appear only in three- or four-point functions; the latter need to be determined from form factors or scattering amplitudes. The  $\chi$ PT analysis of the (nonlattice) phenomenological quantities is nowadays<sup>7</sup> based on  $\mathcal{O}(p^6)$  formulae. At this level the number of LECs explodes and we will not discuss any of these. We will, however, discuss how comparing different orders and different expansions (in particular the  $x$  versus  $\xi$ -expansion) can help to assess the theoretical

<sup>4</sup>By introducing an imaginary isospin chemical potential, the framework can be extended such that the low-lying spectrum of the Dirac operator is also sensitive to the pseudoscalar decay constant  $F$  at LO [99].

<sup>5</sup>Higher-order systematic effects in the matching with RMT have been investigated in Refs. [100, 101].

<sup>6</sup>The results for  $\Sigma$  and  $F$  lie in the same range as the determinations reported in Tabs. 19 and 20.

<sup>7</sup>Some of the  $\mathcal{O}(p^6)$  formulae presented below have been derived in an unpublished note by two of us (GC and SD), Jürg Gasser and Heiri Leutwyler. We thank them for allowing us to publish them here.

uncertainties of the LECs determined on the lattice.

### 5.2.1 General remarks on the extraction of low-energy constants

The lattice results for the  $SU(2)$  LECs are summarized in Tabs. 19–22 and Figs. 12–14. The tables present our usual colour coding, which summarizes the main aspects related to the treatment of the systematic errors of the various calculations.

A delicate issue in the lattice determination of chiral LECs (in particular at NLO), which cannot be reflected by our colour coding, is a reliable assessment of the theoretical error that comes from the chiral expansion. We add a few remarks on this point:

1. Using *both* the  $x$  and the  $\xi$  expansion is a good way to test how the ambiguity of the chiral expansion (at a given order) affects the numerical values of the LECs that are determined from a particular set of data [108, 109]. For instance, to determine  $\bar{\ell}_4$  (or  $\Lambda_4$ ) from lattice data for  $F_\pi$  as a function of the quark mass, one may compare the fits based on the parameterization  $F_\pi = F\{1 + x \ln(\Lambda_4^2/M^2)\}$  [see Eq. (88)] with those obtained from  $F_\pi = F/\{1 - \xi \ln(\Lambda_4^2/M_\pi^2)\}$  [see Eq. (93)]. The difference between the two results provides an estimate of the uncertainty due to the truncation of the chiral series. Which central value one chooses is in principle arbitrary, but we find it advisable to use the one obtained with the  $\xi$  expansion,<sup>8</sup> in particular because it makes the comparison with phenomenological determinations (where it is standard practice to use the  $\xi$  expansion) more meaningful.
2. Alternatively one could try to estimate the influence of higher chiral orders by reshuffling irrelevant higher-order terms. For instance, in the example mentioned above one might use  $F_\pi = F/\{1 - x \ln(\Lambda_4^2/M^2)\}$  as a different functional form at NLO. Another way to establish such an estimate is through introducing by hand “analytical” higher-order terms (e.g., “analytical NNLO” as done, in the past, by MILC [110]). In principle it would be preferable to include all NNLO terms or none, such that the structure of the chiral expansion is preserved at any order (this is what ETM [111] and JLQCD/TWQCD [108] have done for  $SU(2)$   $\chi$ PT and MILC for both  $SU(2)$  and  $SU(3)$   $\chi$ PT [112–114]). There are different opinions in the field as to whether it is advisable to include terms to which the data is not sensitive. In case one is willing to include external (typically: nonlattice) information, the use of priors is a theoretically well founded option (e.g., priors for NNLO LECs if one is interested exclusively in LECs at LO/NLO).
3. Another issue concerns the  $s$ -quark mass dependence of the LECs  $\bar{\ell}_i$  or  $\Lambda_i$  of the  $SU(2)$  framework. As far as variations of  $m_s$  around  $m_s^{\text{phys}}$  are concerned (say for  $0 < m_s < 1.5m_s^{\text{phys}}$  at best) the issue can be studied in  $SU(3)$   $\chi$ PT, and this has been done in a series of papers [2, 115, 116]. However, the effect of sending  $m_s$  to infinity, as is the case in  $N_f = 2$  lattice studies of  $SU(2)$  LECs, cannot be addressed in this way. A way to analyse this difference is to compare the numerical values of LECs determined in  $N_f = 2$  lattice simulations to those determined in  $N_f = 2 + 1$  lattice simulations (see, e.g., Ref. [117] for a discussion).

<sup>8</sup>There are theoretical arguments suggesting that the  $\xi$  expansion is preferable to the  $x$  expansion, based on the observation that the coefficients in front of the squared logs in Eq. (88) are somewhat larger than in Eq. (93). This can be traced to the fact that a part of every formula in the  $x$  expansion is concerned with locating the position of the pion pole (at the previous order) while in the  $\xi$  expansion the knowledge of this position is built in exactly. Numerical evidence supporting this view is presented in Ref. [108].



4. Last but not least let us recall that the determination of the LECs is affected by discretization effects, and it is important that these are removed by means of a continuum extrapolation. In this step invoking an extended version of the chiral Lagrangian [50, 118–122] may be useful<sup>9</sup> in case one aims for a global fit of lattice data involving several  $M_\pi$  and  $a$  values and several chiral observables.

In the tables and figures we summarize the results of various lattice collaborations for the  $SU(2)$  LECs at LO ( $F$  or  $F_\pi/F$ ,  $B$  or  $\Sigma$ ) and at NLO ( $\bar{\ell}_1 - \bar{\ell}_2$ ,  $\bar{\ell}_3$ ,  $\bar{\ell}_4$ ,  $\bar{\ell}_6$ ). Throughout we group the results into those which stem from  $N_f = 2 + 1 + 1$  calculations, those which come from  $N_f = 2 + 1$  calculations and those which stem from  $N_f = 2$  calculations (since, as mentioned above, the LECs are logically distinct even if the current precision of the data is not sufficient to resolve the differences). Furthermore, we make a distinction whether the results are obtained from simulations in the  $p$ -regime or whether alternative methods ( $\epsilon$ -regime, spectral densities, topological susceptibility, etc.) have been used (this should not affect the result). For comparison we add, in each case, a few representative phenomenological determinations.

A generic comment applies to the issue of the scale setting. In the past none of the lattice studies with  $N_f \geq 2$  involved simulations in the  $p$ -regime at the physical value of  $m_{ud}$ . Accordingly, the setting of the scale  $a^{-1}$  via an experimentally measurable quantity did necessarily involve a chiral extrapolation, and as a result of this dimensionful quantities used to be particularly sensitive to this extrapolation uncertainty, while in dimensionless ratios such as  $F_\pi/F$ ,  $F/F_0$ ,  $B/B_0$ ,  $\Sigma/\Sigma_0$  this particular problem is much reduced (and often finite lattice-to-continuum renormalization factors drop out). Now, there is a new generation of lattice studies with  $N_f = 2$  [125],  $N_f = 2 + 1$  [109, 126–134], and  $N_f = 2 + 1 + 1$  [135, 136], which does involve simulations at physical pion masses. In such studies the uncertainty that the scale setting has on dimensionful quantities is much mitigated.

It is worth repeating here that the standard colour-coding scheme of our tables is necessarily schematic and cannot do justice to every calculation. In particular there is some difficulty in coming up with a fair adjustment of the rating criteria to finite-volume regimes of QCD. For instance, in the  $\epsilon$ -regime<sup>10</sup> we re-express the “chiral extrapolation” criterion in terms of  $\sqrt{2m_{\min}\Sigma}/F$ , with the same threshold values (in MeV) between the three categories as in the  $p$ -regime. Also the “infinite volume” assessment is adapted to the  $\epsilon$ -regime, since the  $M_\pi L$  criterion does not make sense here; we assign a green star if at least 2 volumes with  $L > 2.5$  fm are included, an open symbol if at least 1 volume with  $L > 2$  fm is invoked and a red square if all boxes are smaller than 2 fm. Similarly, in the calculation of form factors and charge radii the tables do not reflect whether an interpolation to the desired  $q^2$  has been performed or whether the relevant  $q^2$  has been engineered by means of “twisted boundary conditions” [139]. In spite of these limitations we feel that these tables give an adequate overview of the qualities of the various calculations.

### 5.2.2 Results for the LO $SU(2)$ LECs

We begin with a discussion of the lattice results for the  $SU(2)$  LEC  $\Sigma$ . We present the results in Tab. 19 and Fig. 12. We remind the reader that results which include only a statistical

<sup>9</sup>This means that for any given lattice formulation one needs to determine additional lattice-artifact low-energy constants. For certain formulations, e.g., the twisted-mass approach, first steps in this direction have already been taken [123], while with staggered fermions MILC routinely does so, see, e.g., Refs. [110, 124].

<sup>10</sup>Also in case of Refs. [137, 138] the colour-coding criteria for the  $\epsilon$ -regime have been applied.



Collaboration	Ref.	$N_f$	publication status	chiral extrapolation	continuum extrapolation	finite volume	renormalization	$\Sigma^{1/3}$
ETM 17E	[140]	2+1+1	A	○	★	○	★	318(21)(21)
ETM 13	[86]	2+1+1	A	○	★	★	★	280(8)(15)
JLQCD 17A	[141]	2+1	A	○	★	★	★	274(13)(29)
JLQCD 16B	[47]	2+1	A	○	★	★	★	270.0(1.3)(4.8)
RBC/UKQCD 15E	[134]	2+1	A	★	★	★	★	274.2(2.8)(4.0)
RBC/UKQCD 14B	[133]	2+1	A	★	★	★	★	275.9(1.9)(1.0)
BMW 13	[109]	2+1	A	★	★	★	★	271(4)(1)
Borsanyi 12	[129]	2+1	A	○	○	★	★	272.3(1.2)(1.4)
JLQCD/TWQCD 10A	[138]	2+1	A	★	■	■	★	234(4)(17)
MILC 10A	[113]	2+1	C	○	★	★	○	281.5(3.4) <sup>(+2.0)</sup> <sub>(-5.9)</sub> (4.0)
RBC/UKQCD 10A	[143]	2+1	A	○	○	■	★	256(5)(2)(2)
JLQCD 09	[137]	2+1	A	★	■	■	★	242(4) <sup>(+19)</sup> <sub>(-18)</sub>
MILC 09A, $SU(3)$ -fit	[112]	2+1	C	○	★	★	○	279(1)(2)(4)
MILC 09A, $SU(2)$ -fit	[112]	2+1	C	○	★	★	○	280(2) <sup>(+4)</sup> <sub>(-8)</sub> (4)
MILC 09	[110]	2+1	A	○	★	★	○	278(1) <sup>(+2)</sup> <sub>(-3)</sub> (5)
TWQCD 08	[144]	2+1	A	■	■	■	★	259(6)(9)
PACS-CS 08, $SU(3)$ -fit	[145]	2+1	A	★	■	■	■	312(10)
PACS-CS 08, $SU(2)$ -fit	[145]	2+1	A	★	■	■	■	309(7)
RBC/UKQCD 08	[146]	2+1	A	○	■	○	★	255(8)(8)(13)
Engel 14	[88]	2	A	★	★	★	★	263(3)(4)
Brandt 13	[147]	2	A	○	★	○	★	261(13)(1)
ETM 13	[86]	2	A	○	★	○	★	283(7)(17)
ETM 12	[148]	2	A	○	★	○	★	299(26)(29)
Bernardoni 11	[149]	2	C	○	■	■	★	306(11)
TWQCD 11	[150]	2	A	○	■	■	★	230(4)(6)
TWQCD 11A	[151]	2	A	○	■	■	★	259(6)(7)
JLQCD/TWQCD 10A	[138]	2	A	★	■	■	★	242(5)(20)
Bernardoni 10	[152]	2	A	○	■	■	★	262 <sup>(+33)</sup> <sub>(-34)</sub> <sup>(+4)</sup> <sub>(-5)</sub>
ETM 09C	[111]	2	A	○	★	○	★	270(5) <sup>(+3)</sup> <sub>(-4)</sub>
ETM 08	[17]	2	A	○	○	○	★	264(3)(5)
CERN 08	[84]	2	A	○	■	○	★	276(3)(4)(5)
Hasenfratz 08	[153]	2	A	○	■	○	★	248(6)
JLQCD/TWQCD 08A	[108]	2	A	○	■	■	★	235.7(5.0)(2.0) <sup>(+12.7)</sup> <sub>(-0.0)</sub>
JLQCD/TWQCD 07	[154]	2	A	○	■	■	★	239.8(4.0)
JLQCD/TWQCD 07A	[155]	2	A	★	■	■	★	252(5)(10)

Table 19: Cubic root of the  $SU(2)$  quark condensate  $\Sigma \equiv -\langle \bar{u}u \rangle|_{m_u, m_d \rightarrow 0}$  in MeV units, in the  $\overline{\text{MS}}$ -scheme, at the renormalization scale  $\mu = 2$  GeV. All ETM values that were available only in  $r_0$  units were converted on the basis of  $r_0 = 0.48(2)$  fm [125, 156, 157], with this error being added in quadrature to any existing systematic error.

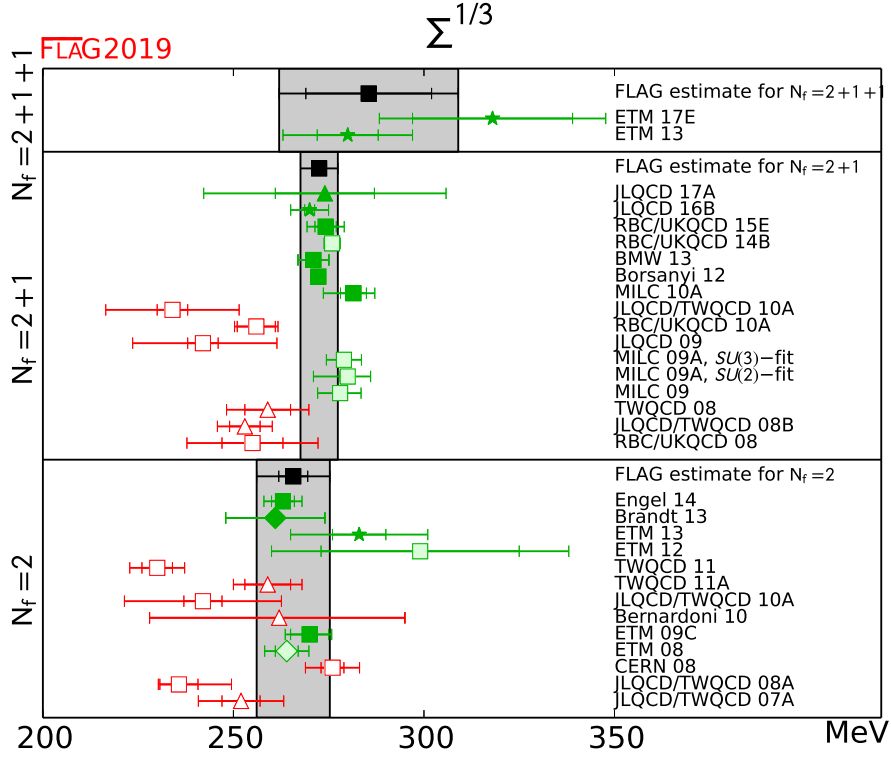


Figure 12: Cubic root of the  $SU(2)$  quark condensate  $\Sigma \equiv -\langle \bar{u}u \rangle|_{m_u, m_d \rightarrow 0}$  in the  $\overline{\text{MS}}$ -scheme, at the renormalization scale  $\mu = 2 \text{ GeV}$ . Green and red squares indicate determinations from correlators in the  $p$ -regime. Up triangles refer to extractions from the topological susceptibility, diamonds to determinations from the pion form factor, and star symbols refer to the spectral density method.

error are listed in the table but omitted from the plot. Regarding the  $N_f = 2$  computations there are six entries without a red tag. We form the average based on ETM 09C, ETM 13 (here we deviate from our “superseded” rule, since the two works use different methods), Brandt 13, and Engel 14. Here and in the following we take into account that ETM 09C, ETM 13 share configurations, and the same statement holds true for Brandt 13 and Engel 14. Regarding the  $N_f = 2+1$  computations there are six published or updated papers (MILC 10A, Borsanyi 12, BMW 13, RBC/UKQCD 15E, JLQCD 16B and JLQCD 17A) that qualify for the  $N_f = 2+1$  average. Here we deviate again from the “superseded” rule, since JLQCD 17A [141] uses a completely different methodology than JLQCD 16B [47]. Unfortunately, the new error-bar (from an indirect determination, via the topological susceptibility) is about an order of magnitude larger than the old one, hence it barely affects our average. Finally, the single complete  $N_f = 2+1+1$  calculation available so far, ETM 13 [86], was recently complemented by ETM 17E [140]. Again we deviate from the “supersede” rule, since both authors and methodologies differ.

In slight deviation from the general recipe outlined in Sec. 2.2 we use these values as a basis for our *estimates* (as opposed to *averages*) of the  $N_f = 2$ ,  $N_f = 2+1$ , and  $N_f = 2+1+1$  condensates. In each case the central value is obtained from our standard averaging procedure, but the (symmetrical) error is just the median of the overall uncertainties of all contributing

results (see the comment below for details). This leads to the values

$$\begin{aligned}
N_f = 2 : & \quad \Sigma^{1/3} = 266(10) \text{ MeV} & \text{Refs. [86, 88, 111, 147],} \\
N_f = 2 + 1 : & \quad \Sigma^{1/3} = 272(5) \text{ MeV} & \text{Refs. [47, 109, 113, 129, 134, 141],} \\
N_f = 2 + 1 + 1 : & \quad \Sigma^{1/3} = 286(23) \text{ MeV} & \text{Refs. [86, 140],}
\end{aligned} \tag{122}$$

in the  $\overline{\text{MS}}$  scheme at the renormalization scale 2 GeV, where the errors include both statistical and systematic uncertainties. In accordance with our guidelines we ask the reader to cite the appropriate set of references as indicated in Eq. (122) when using these numbers.

As a rationale for using *estimates* (as opposed to *averages*) for  $N_f = 2$ ,  $N_f = 2 + 1$ , and  $N_f = 2 + 1 + 1$ , we add that for  $\Sigma^{1/3}|_{N_f=2}$ ,  $\Sigma^{1/3}|_{N_f=2+1}$ , and  $\Sigma^{1/3}|_{N_f=2+1+1}$  the standard averaging method would yield central values as quoted in Eq. (122), but with (overall) uncertainties of 4 MeV, 1 MeV, and 16 MeV, respectively. It is not entirely clear to us that the scale is sufficiently well known in all contributing works to warrant a precision of up to 0.37% on our  $\Sigma^{1/3}$ , and a similar statement can be made about the level of control over the convergence of the chiral expansion. The aforementioned uncertainties would tend to suggest an  $N_f$ -dependence of the  $SU(2)$  chiral condensate, which (especially in view of similar issues with other LECs, see below) seems premature to us. Therefore we choose to form the central value of our estimate with the standard averaging procedure, but its uncertainty is taken as the median of the uncertainties of the participating results. We hope that future high-quality determinations (with any of  $N_f = 2$ ,  $N_f = 2 + 1$ , or  $N_f = 2 + 1 + 1$ ) will help determine whether there is a noticeable  $N_f$ -dependence of the  $SU(2)$  chiral condensate or not.

The next quantity considered is  $F$ , i.e., the pion decay constant in the  $SU(2)$  chiral limit ( $m_{ud} \rightarrow 0$ , at fixed physical  $m_s$  for  $N_f > 2$  simulations). As argued on previous occasions we tend to give preference to  $F_\pi/F$  (here the numerator is meant to refer to the physical-pion-mass point) wherever it is available, since often some of the systematic uncertainties are mitigated. We collect the results in Tab. 20 and Fig. 13. In those cases where the collaboration provides only  $F$ , the ratio is computed on the basis of the phenomenological value of  $F_\pi$ , and the respective entries in Tab. 20 are in slanted fonts. We encourage authors to provide both  $F$  and  $F_\pi/F$  from their analysis, since the ratio is less dependent on the scale setting, and errors tend to partially cancel. Among the  $N_f = 2$  determinations five (ETM 08, ETM 09C, QCDSF 13, Brandt 13 and Engel 14) are without red tags. Since the third one is without systematic error, only four of them enter the average. Among the  $N_f = 2 + 1$  determinations five values (MILC 10 as an update of MILC 09, NPLQCD 11, Borsanyi 12, BMW 13, and RBC/UKQCD 15E) contribute to the average. Here and in the following we take into account that MILC 10 and NPLQCD 11 share configurations. Finally, there is a single  $N_f = 2 + 1 + 1$  determination (ETM 11) which forms the current best estimate in this category.

In analogy to the condensates discussed above, we use these values as a basis for our *estimates* (as opposed to *averages*) of the decay constant ratios

$$\begin{aligned}
N_f = 2 : & \quad F_\pi/F = 1.073(15) & \text{Refs. [17, 88, 111, 147],} \\
N_f = 2 + 1 : & \quad F_\pi/F = 1.062(7) & \text{Refs. [109, 114, 129, 134, 160],} \\
N_f = 2 + 1 + 1 : & \quad F_\pi/F = 1.077(3) & \text{Ref. [158],}
\end{aligned} \tag{123}$$

where the errors include both statistical and systematic uncertainties. We ask the reader to cite the appropriate set of references as indicated in Eq. (123) when using these numbers. For  $N_f = 2$  and  $N_f = 2 + 1$  these *estimates* are obtained through the well-defined procedure

Collaboration	Ref.	$N_f$	publication status	chiral extrapolation	continuum extrapolation	finite volume	$F$	$F_\pi/F$
ETM 11	[158]	2+1+1	C	○	★	○	85.60(4)(13)	1.077(2)(2)
ETM 10	[159]	2+1+1	A	○	■	★	85.66(6)(13)	1.076(2)(2)
RBC/UKQCD 15E	[134]	2+1	A	★	★	★	85.8(1.1)(1.5)	1.0641(21)(49)
RBC/UKQCD 14B	[133]	2+1	A	★	★	★	86.63(12)(13)	1.0645(15)(0)
BMW 13	[109]	2+1	A	★	★	★	88.0(1.3)(0.3)	1.055(7)(2)
Borsanyi 12	[129]	2+1	A	○	○	★	86.78(05)(25)	1.0627(06)(27)
NPLQCD 11	[160]	2+1	A	○	○	○	86.8(2.1) <sup>(+3.3)</sup> <sub>(-3.4)</sub>	1.062(26) <sup>(+42)</sup> <sub>(-40)</sub>
MILC 10	[114]	2+1	C	○	★	★	87.0(4)(5)	1.060(5)(6)
MILC 10A	[113]	2+1	C	○	★	★	87.5(1.0) <sup>(+0.7)</sup> <sub>(-2.6)</sub>	1.054(12) <sup>(+31)</sup> <sub>(-09)</sub>
MILC 09A, $SU(3)$ -fit	[112]	2+1	C	○	★	★	86.8(2)(4)	1.062(1)(3)
MILC 09A, $SU(2)$ -fit	[112]	2+1	C	○	★	★	87.4(0.6) <sup>(+0.9)</sup> <sub>(-1.0)</sub>	1.054(7) <sup>(+12)</sup> <sub>(-11)</sub>
MILC 09	[110]	2+1	A	○	★	★	87.66(17) <sup>(+28)</sup> <sub>(-52)</sub>	1.052(2) <sup>(+6)</sup> <sub>(-3)</sub>
PACS-CS 08, $SU(3)$ -fit	[145]	2+1	A	★	■	■	90.3(3.6)	1.062(8)
PACS-CS 08, $SU(2)$ -fit	[145]	2+1	A	★	■	■	89.4(3.3)	1.060(7)
RBC/UKQCD 08	[146]	2+1	A	○	■	○	81.2(2.9)(5.7)	1.080(8)
ETM 15A	[125]	2	A	★	■	○	86.3(2.8)	1.069(35)
Engel 14	[88]	2	A	★	★	★	85.8(0.7)(2.0)	1.075(09)(25)
Brandt 13	[147]	2	A	○	★	○	84(8)(2)	1.080(16)(6)
QCDSF 13	[161]	2	A	★	○	○	86(1)	1.07(1)
TWQCD 11	[150]	2	A	○	■	■	83.39(35)(38)	1.106(5)(5)
ETM 09C	[111]	2	A	○	★	○	85.91(07) <sup>(+78)</sup> <sub>(-07)</sub>	1.0755(6) <sup>(+08)</sup> <sub>(-94)</sub>
ETM 08	[17]	2	A	○	○	○	86.6(7)(7)	1.067(9)(9)
Hasenfratz 08	[153]	2	A	○	■	○	90(4)	1.02(5)
JLQCD/TWQCD 08A	[108]	2	A	○	■	■	79.0(2.5)(0.7) <sup>(+4.2)</sup> <sub>(-0.0)</sub>	1.167(37)(10) <sup>(+02)</sup> <sub>(-62)</sub>
JLQCD/TWQCD 07	[154]	2	A	○	■	■	87.3(5.6)	1.06(7)
Colangelo 03	[162]						86.2(5)	1.0719(52)

Table 20: Results for the  $SU(2)$  low-energy constant  $F$  (in MeV) and for the ratio  $F_\pi/F$ . All ETM values that were available only in  $r_0$  units were converted on the basis of  $r_0 = 0.48(2)$  fm [125, 156, 157], with this error being added in quadrature to any existing systematic error. Numbers in slanted fonts have been calculated by us, based on  $\sqrt{2}F_\pi^{\text{phys}} = 130.41(20)$  MeV [163], with this error being added in quadrature to any existing systematic error (otherwise to the statistical error). The systematic error in ETM 11 has been carried over from ETM 10.

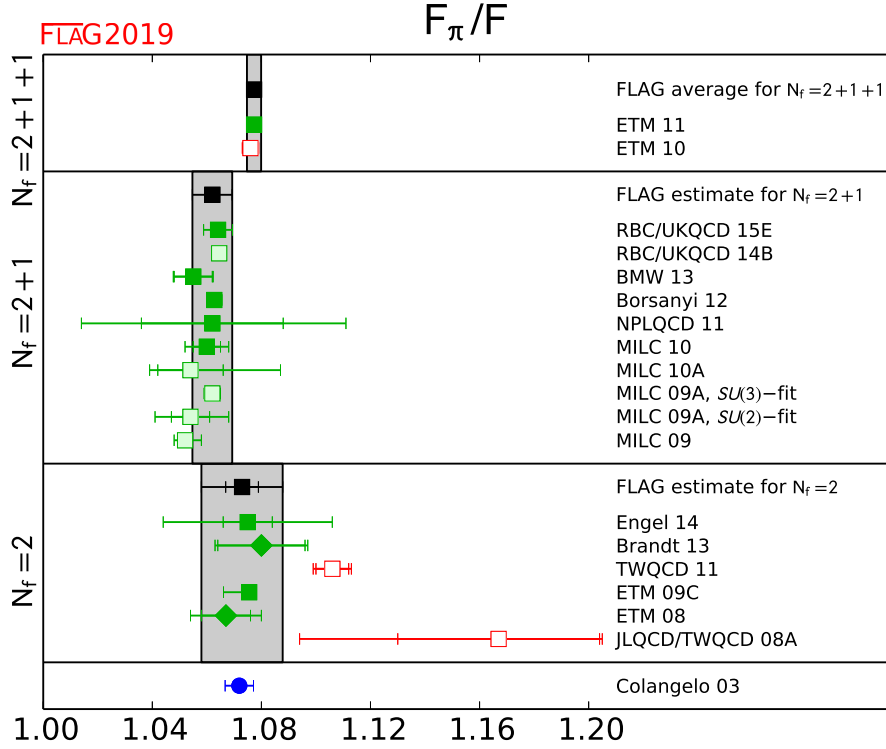


Figure 13: Comparison of the results for the ratio of the physical pion decay constant  $F_\pi$  and the leading-order  $SU(2)$  low-energy constant  $F$ . The meaning of the symbols is the same as in Fig. 12.

described next to Eq.(122). For  $N_f = 2 + 1 + 1$  the result of ETM 11 (as an update to ETM 10) is the only one<sup>11</sup> available.

For  $N_f = 2$  and  $N_f = 2 + 1$  the standard averaging method would yield the central values as quoted in Eq.(123), but with (overall) uncertainties of 6 and 1, respectively, on the last digit quoted. In this particular case the single  $N_f = 2 + 1 + 1$  determination lies significantly higher than the  $N_f = 2 + 1$  average (with the small error-bar), basically on par with the  $N_f = 2$  average (ditto), and this makes such a standard average look even more suspicious to us. At the very least, one should wait for one more qualifying  $N_f = 2 + 1 + 1$  determination before attempting any conclusions about the  $N_f$ -dependence of  $F_\pi/F$ . While we are not aware of any theorem that excludes a nonmonotonic behavior in  $N_f$  of a LEC, standard physics reasoning would suggest that quark-loop effects become smaller with increasing quark mass, hence a dynamical charm quark will influence LECs less significantly than a dynamical strange quark, and even the latter one seems to bring rather small shifts. As a result, we feel that a nonmonotonic behavior of  $F_\pi/F$  with  $N_f$ , once established, would represent a noteworthy finding. We hope this reasoning explains why we prefer to stay in Eq.(123) with estimates that obviously are on the conservative side.

<sup>11</sup>Note that in previous editions of this report the result of ETM 10 was mistakenly used, since the fact that  $(a_{\max}/a_{\min})^2 < 1.4$  in that work, leading to the red square in Tables 20 and 21, escaped our attention. Here we consider the proceeding contribution ETM 11 a straightforward update of the published work ETM 10, and this is why it qualifies for the FLAG average.

Collaboration	Ref.	$N_f$	publication status	chiral extrapolation	continuum extrapolation	finite volume	$\bar{\ell}_3$	$\bar{\ell}_4$
ETM 11	[158]	2+1+1	C	○	★	○	3.53(5)(26)	4.73(2)(10)
ETM 10	[159]	2+1+1	A	○	■	★	3.70(7)(26)	4.67(3)(10)
RBC/UKQCD 15E	[134]	2+1	A	★	★	★	2.81(19)(45)	4.02(8)(24)
RBC/UKQCD 14B	[133]	2+1	A	★	★	★	2.73(13)(0)	4.113(59)(0)
BMW 13	[109]	2+1	A	★	★	★	2.5(5)(4)	3.8(4)(2)
RBC/UKQCD 12	[132]	2+1	A	★	○	★	2.91(23)(07)	3.99(16)(09)
Borsanyi 12	[129]	2+1	A	○	○	★	3.16(10)(29)	4.03(03)(16)
NPLQCD 11	[160]	2+1	A	○	○	○	4.04(40) <sup>(+73)</sup> <sub>(-55)</sub>	4.30(51) <sup>(+84)</sup> <sub>(-60)</sub>
MILC 10	[114]	2+1	C	○	★	★	3.18(50)(89)	4.29(21)(82)
MILC 10A	[113]	2+1	C	○	★	★	2.85(81) <sup>(+37)</sup> <sub>(-92)</sub>	3.98(32) <sup>(+51)</sup> <sub>(-28)</sub>
RBC/UKQCD 10A	[143]	2+1	A	○	○	■	2.57(18)	3.83(9)
MILC 09A, $SU(3)$ -fit	[112]	2+1	C	○	★	★	3.32(64)(45)	4.03(16)(17)
MILC 09A, $SU(2)$ -fit	[112]	2+1	C	○	★	★	3.0(6) <sup>(+9)</sup> <sub>(-6)</sub>	3.9(2)(3)
PACS-CS 08, $SU(3)$ -fit	[145]	2+1	A	★	■	■	3.47(11)	4.21(11)
PACS-CS 08, $SU(2)$ -fit	[145]	2+1	A	★	■	■	3.14(23)	4.04(19)
RBC/UKQCD 08	[146]	2+1	A	○	■	○	3.13(33)(24)	4.43(14)(77)
ETM 15A	[125]	2	A	★	■	○		3.3(4)
Gülpers 15	[164]	2	A	★	★	★		4.54(30)(0)
Gülpers 13	[165]	2	A	○	■	○		4.76(13)
Brandt 13	[147]	2	A	○	★	○	3.0(7)(5)	4.7(4)(1)
QCDSF 13	[161]	2	A	★	○	○		4.2(1)
Bernardoni 11	[149]	2	C	○	■	■	4.46(30)(14)	4.56(10)(4)
TWQCD 11	[150]	2	A	○	■	■	4.149(35)(14)	4.582(17)(20)
ETM 09C	[111]	2	A	○	★	○	3.50(9) <sup>(+09)</sup> <sub>(-30)</sub>	4.66(4) <sup>(+04)</sup> <sub>(-33)</sub>
JLQCD/TWQCD 09	[166]	2	A	○	■	■		4.09(50)(52)
ETM 08	[17]	2	A	○	○	○	3.2(8)(2)	4.4(2)(1)
JLQCD/TWQCD 08A	[108]	2	A	○	■	■	3.38(40)(24) <sup>(+31)</sup> <sub>(-00)</sub>	4.12(35)(30) <sup>(+31)</sup> <sub>(-00)</sub>
CERN-TOV 06	[167]	2	A	○	■	■	3.0(5)(1)	
Colangelo 01	[13]							4.4(2)
Gasser 84	[1]						2.9(2.4)	4.3(9)

Table 21: Results for the  $SU(2)$  NLO low-energy constants  $\bar{\ell}_3$  and  $\bar{\ell}_4$ . For comparison, the last two lines show results from phenomenological analyses. The systematic error in ETM 11 has been carried over from ETM 10.

### 5.2.3 Results for the NLO $SU(2)$ LECs

We move on to a discussion of the lattice results for the NLO LECs  $\bar{\ell}_3$  and  $\bar{\ell}_4$ . We remind the reader that on the lattice the former LEC is obtained as a result of the tiny deviation from linearity seen in  $M_\pi^2$  versus  $Bm_{ud}$ , whereas the latter LEC is extracted from the curvature in  $F_\pi$  versus  $Bm_{ud}$ . The available determinations are presented in Tab. 21 and Fig. 14.

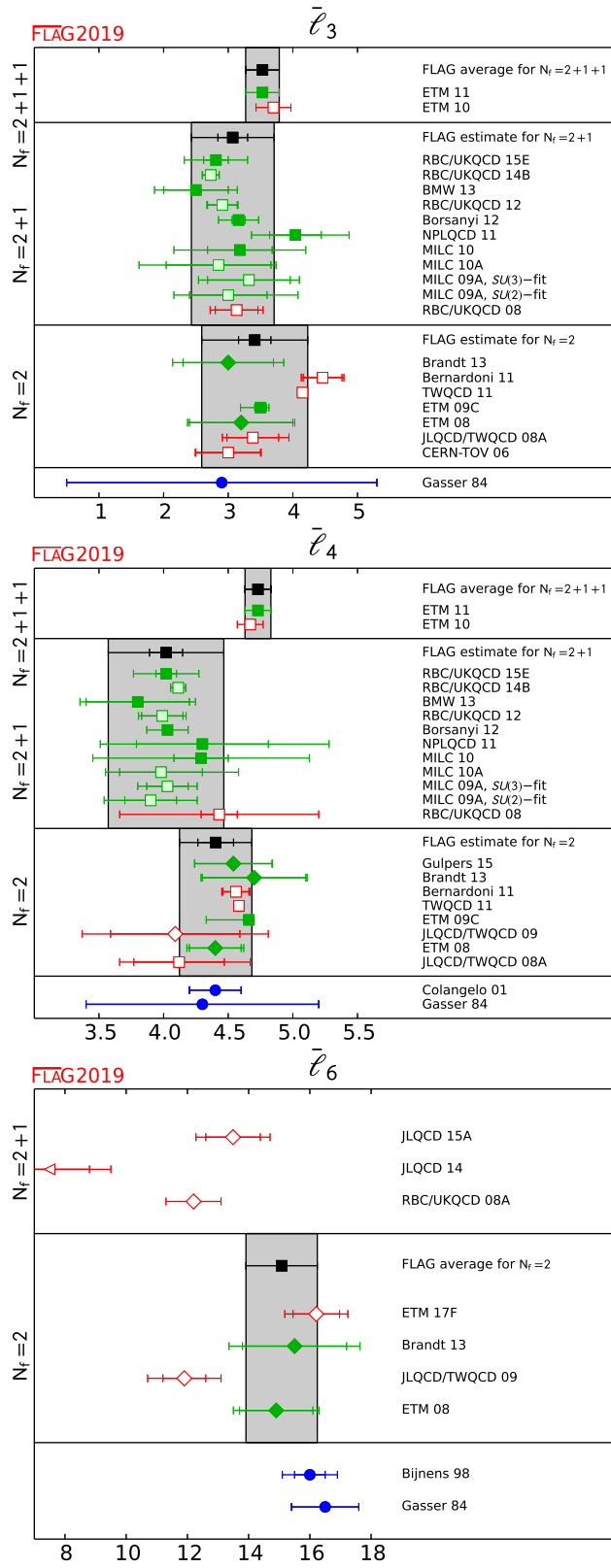


Figure 14: Effective coupling constants  $\bar{\ell}_3$ ,  $\bar{\ell}_4$  and  $\bar{\ell}_6$ . Squares indicate determinations from correlators in the  $p$ -regime, diamonds refer to determinations from the pion form factor.



Among the  $N_f = 2$  determinations ETM 08, ETM 09C, Brandt 13, and Gülpers 15 come with a systematic uncertainty and without red tags. Given that the former two use different approaches, all four determinations enter our average. The colour coding of the  $N_f = 2 + 1$  results looks very promising; there is a significant number of lattice determinations without any red tag. Applying our superseding rule, MILC 10 (as an update<sup>12</sup> to MILC 09), NPLQCD 11, Borsanyi 12, BMW 13, and RBC/UKQCD 15E contribute to the average. For  $N_f = 2 + 1 + 1$  there is only the single work ETM 11 (as an update to ETM 10).

In analogy to our processing of the LECs at LO, we use these determinations as the basis of our *estimate* (as opposed to *average*) of the NLO quantities

$$\begin{aligned}
 N_f = 2 : & & \bar{\ell}_3 = 3.41(82) & & \text{Refs. [17, 111, 147]}, \\
 N_f = 2 + 1 : & & \bar{\ell}_3 = 3.07(64) & & \text{Refs. [109, 114, 129, 134, 160]}, \\
 N_f = 2 + 1 + 1 : & & \bar{\ell}_3 = 3.53(26) & & \text{Ref. [158]}
 \end{aligned} \tag{124}$$

$$\begin{aligned}
 N_f = 2 : & & \bar{\ell}_4 = 4.40(28) & & \text{Refs. [17, 111, 147, 164]}, \\
 N_f = 2 + 1 : & & \bar{\ell}_4 = 4.02(45) & & \text{Refs. [109, 114, 129, 134, 160]}, \\
 N_f = 2 + 1 + 1 : & & \bar{\ell}_4 = 4.73(10) & & \text{Ref. [158]}
 \end{aligned} \tag{125}$$

where the errors include both statistical and systematic uncertainties. Again we ask the reader to cite the appropriate set of references as indicated in Eq. (124) or Eq. (125) when using these numbers. For  $N_f = 2$  and  $N_f = 2 + 1$  these *estimates* are obtained through the well-defined procedure described next to Eq. (122). For  $N_f = 2 + 1 + 1$  once again ETM 11 (as an update to ETM 10) is the single reference available.

We remark that our preprocessing procedure<sup>13</sup> symmetrizes the asymmetric error of ETM 09C with a slight adjustment of the central value. Regarding the difference between the *estimates* as given in Eqs. (124, 125) and the result of the standard *averaging* procedure we add that the latter would yield the overall uncertainties 25 and 12 for  $\bar{\ell}_3$ , and the overall uncertainties 17 and 5 for  $\bar{\ell}_4$ . In all cases the central value would be unchanged. Especially for  $\bar{\ell}_4$  such numbers would suggest a clear difference between the value with  $N_f = 2$  dynamical flavours and the one at  $N_f = 2 + 1$ . Similarly to what happened with  $F_\pi/F$ , the single determination with  $N_f = 2 + 1 + 1$  is more on the  $N_f = 2$  side, which, if confirmed, would suggest a nonmonotonicity of a  $\chi$ PT LEC with  $N_f$ . Again we think that currently such a conclusion would be premature, and this is why we give preference to the *estimates* quoted in Eqs. (124, 125).

From a more phenomenological point of view there is a notable difference between  $\bar{\ell}_3$  and  $\bar{\ell}_4$  in Fig. 14. For  $\bar{\ell}_4$  the precision of the phenomenological determination achieved in Colangelo 01 [13] represents a significant improvement compared to Gasser 84 [1]. Picking any  $N_f$ , the lattice estimate of  $\bar{\ell}_4$  is consistent with both of the phenomenological values and comes with an error-bar that is roughly comparable to or somewhat larger than the one in

<sup>12</sup>The fits in MILC 10 are straightforward updates to those in MILC 09, and the  $SU(2)$  NLO LECs are obtained directly from the  $SU(3)$  ones, a conversion just not performed in MILC 09. This is why MILC 10 can be an update to a refereed publication that does not show up in Tab. 21.

<sup>13</sup>There are two naive procedures to symmetrize an asymmetric systematic error: (i) keep the central value untouched and enlarge the smaller error, (ii) shift the central value by half of the difference between the two original errors and enlarge/shrink both errors by the same amount. Our procedure (iii) is to average the results of (i) and (ii). In other words a result  $c(s) \binom{+u}{-\ell}$  with  $\ell > u$  is changed into  $c + (u - \ell)/4$  with statistical error  $s$  and a symmetric systematic error  $(u + 3\ell)/4$ . The case  $\ell < u$  is handled accordingly.

Colangelo 01 [13]. By contrast, for  $\bar{\ell}_3$  the error of an individual lattice computation is usually much smaller than the error of the estimate given in Gasser 84 [1], and even our conservative estimates (124) have uncertainties that represent a significant improvement on the error-bar of Gasser 84 [1]. Evidently, our hope is that future determinations of  $\bar{\ell}_3, \bar{\ell}_4$ , with  $N_f = 2$ ,  $N_f = 2 + 1$  and  $N_f = 2 + 1 + 1$ , will allow us to further shrink our error-bars in a future edition of FLAG.

Let us add that Ref. [175] determines  $\ell_1, \ell_2, \ell_3, \ell_4$  (or equivalently  $\bar{\ell}_1, \bar{\ell}_2, \bar{\ell}_3, \bar{\ell}_4$ ) individually, with some assumptions and various fits from lattice data at a single lattice spacing and two (heavier than physical) pion masses.

We continue with a discussion of the lattice results for  $\bar{\ell}_6$  and  $\bar{\ell}_1 - \bar{\ell}_2$ . The LEC  $\bar{\ell}_6$  determines the leading contribution in the chiral expansion of the pion vector charge radius, see Eq. (101). Hence from a lattice study of the vector form factor of the pion with several  $M_\pi$  one may extract the radius  $\langle r^2 \rangle_V^\pi$ , the curvature  $c_V$  (both at the physical pion-mass point) and the LEC  $\bar{\ell}_6$  in one go. Similarly, the leading contribution in the chiral expansion of the scalar radius of the pion determines  $\bar{\ell}_4$ , see Eq. (101). This LEC is also present in the pion-mass dependence of  $F_\pi$ , as we have seen. The difference  $\bar{\ell}_1 - \bar{\ell}_2$ , finally, may be obtained from the momentum dependence of the vector and scalar pion form factors, based on the 2-loop formulae of Ref. [16]. The top part of Tab. 22 collects the results obtained from the vector form factor of the pion (charge radius, curvature and  $\bar{\ell}_6$ ). Regarding this low-energy constant two  $N_f = 2$  calculations are published works without a red tag; we thus arrive at the *average* (actually the first one in the LEC section)

$$N_f = 2 : \quad \bar{\ell}_6 = 15.1(1.2) \quad \text{Refs. [17, 147]}, \quad (126)$$

which is represented as a grey band in the last panel of Fig. 14. Here we ask the reader to cite Refs. [17, 147] when using this number.

The experimental information concerning the charge radius is excellent and the curvature is also known very accurately, based on  $e^+e^-$  data and dispersion theory. The vector form factor calculations thus present an excellent testing ground for the lattice methodology. The first data column of Tab. 22 shows that most of the available lattice results pass the test. There is, however, one worrisome point. For  $\bar{\ell}_6$  the agreement seems less convincing than for the charge radius, even though the two quantities are closely related. In particular the  $\bar{\ell}_6$  value of JLQCD 14 [169] seems inconsistent with the phenomenological determinations of Refs. [1, 16], even though its value for  $\langle r^2 \rangle_V^\pi$  is consistent. So far we have no explanation (other than observing that lattice computations which disagree with the phenomenological determination of  $\bar{\ell}_6$  tend to have red tags), but we urge the groups to pay special attention to this point. Similarly, the bottom part of Tab. 22 collects the results obtained for the scalar form factor of the pion and the combination  $\bar{\ell}_1 - \bar{\ell}_2$  that is extracted from it. A new feature is that Ref. [136] gives both the (flavour) octet and singlet part in  $SU(3)$ , finding  $\langle r^2 \rangle_{S,\text{octet}}^\pi = 0.431(38)(46)$  and  $\langle r^2 \rangle_{S,\text{singlet}}^\pi = 0.506(38)(53)$ . For reasons of backward compatibility they also give  $\langle r^2 \rangle_{S,ud}^\pi$  defined with a  $\bar{u}u + \bar{d}d$  density, and this number is shown in Tab. 22. Another notable feature is that they find the ordering  $\langle r^2 \rangle_{S,\text{conn}}^\pi < \langle r^2 \rangle_{S,\text{octet}}^\pi < \langle r^2 \rangle_{S,ud}^\pi < \langle r^2 \rangle_{S,\text{singlet}}^\pi$  [136].

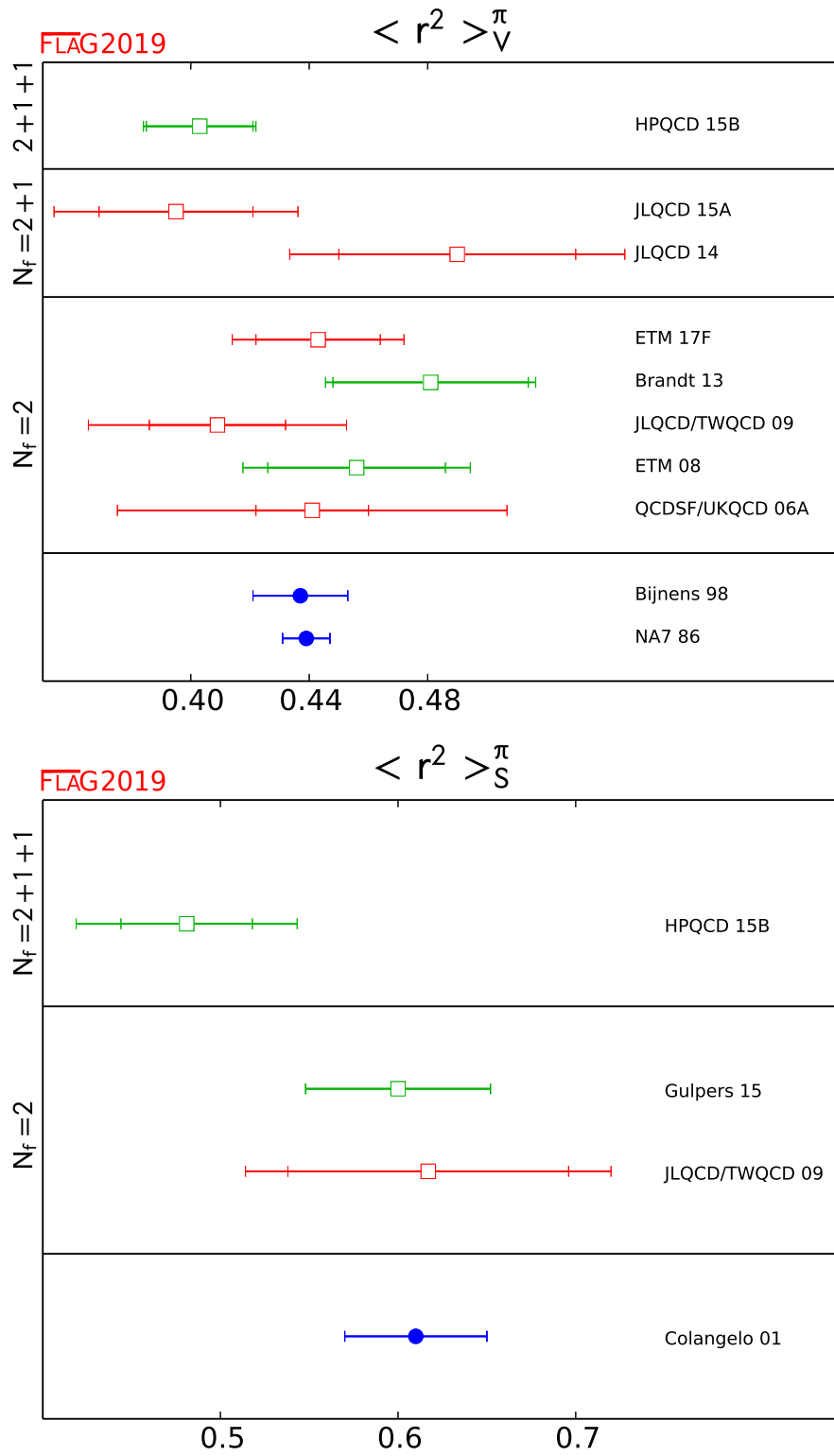
Those data of Tab. 22 that come with a systematic error are shown in Fig. 15. The overall impression is that the majority of lattice results come with a fair assessment of the respective systematic uncertainties. Yet it is clear that it is a nontrivial endeavor to match the precision obtained in experiment and subsequent phenomenological analysis.

The last set of observables we wish to discuss includes the  $\pi$ - $\pi$  scattering lengths  $a_0^0$  and  $a_0^2$  in the isopin channels  $I = 0$  and  $I = 2$ , respectively. As can be seen from Eqs. (108, 110),

Collaboration	Ref.	$N_f$		publication status	chiral extrapolation	continuum extrapolation	finite volume	$\langle r^2 \rangle_V^\pi$	$c_V$	$\bar{\ell}_6$
HPQCD 15B	[136]	2+1+1	A	★	○	★		0.403(18)(6)		
JLQCD 15A, $SU(2)$ -fit	[168]	2+1	A	○	■	○		0.395(26)(32)		13.49(89)(82)
JLQCD 14	[169]	2+1	A	★	■	■		0.49(4)(4)		7.5(1.3)(1.5)
PACS-CS 11A	[170]	2+1	A	○	■	○		0.441(46)		
RBC/UKQCD 08A	[139]	2+1	A	■	■	○		0.418(31)		12.2(9)
LHP 04	[171]	2+1	A	■	■	■		0.310(46)		
ETM 17F	[172]	2	A	★	■	★		0.443(21)(20)		16.21(76)(70)
Brandt 13	[147]	2	A	○	★	○		0.481(33)(13)		15.5(1.7)(1.3)
JLQCD/TWQCD 09	[166]	2	A	○	■	■		0.409(23)(37)	3.22(17)(36)	11.9(0.7)(1.0)
ETM 08	[17]	2	A	○	○	○		0.456(30)(24)	3.37(31)(27)	14.9(1.2)(0.7)
QCDSF/UKQCD 06A	[173]	2	A	○	★	■		0.441(19)(63)		
Bijnens 98	[16]							0.437(16)	3.85(60)	16.0(0.5)(0.7)
NA7 86	[174]							0.439(8)		
Gasser 84	[1]									16.5(1.1)

Collaboration	Ref.	$N_f$		publication status	chiral extrapolation	continuum extrapolation	finite volume	$\langle r^2 \rangle_S^\pi$	$\bar{\ell}_1 - \bar{\ell}_2$
HPQCD 15B	[136]	2+1+1	A	★	○	★		0.481(37)(50)	
RBC/UKQCD 15E	[134]	2+1	A	★	★	★			-9.2(4.9)(6.5)
Gülpers 15	[164]	2	A	★	★	★		0.600(52)(0)	
Gülpers 13	[165]	2	A	○	■	○		0.637(23)	
JLQCD/TWQCD 09	[166]	2	A	○	■	■		0.617(79)(66)	-2.9(0.9)(1.3)
Colangelo 01	[13]							0.61(4)	-4.7(6)

Table 22: Top (vector form factor of the pion): Lattice results for the charge radius  $\langle r^2 \rangle_V^\pi$  (in  $\text{fm}^2$ ), the curvature  $c_V$  (in  $\text{GeV}^{-4}$ ) and the effective coupling constant  $\bar{\ell}_6$  are compared with the experimental value, as obtained by NA7, and some phenomenological estimates. Bottom (scalar form factor of the pion): Lattice results for the scalar radius  $\langle r^2 \rangle_S^\pi$  (in  $\text{fm}^2$ ) and the combination  $\bar{\ell}_1 - \bar{\ell}_2$  are compared with a dispersive calculation of these quantities.

Figure 15: Summary of the pion form factors  $\langle r^2 \rangle_{\pi_V}^{\pi}$  (top) and  $\langle r^2 \rangle_{\pi_S}^{\pi}$  (bottom).

Collaboration	Ref.	$N_f$	Publication status	chiral extrapolation	continuum extrapolation	finite volume	$a_0^0 M_\pi$	$\ell_{\pi\pi}^0$
Fu 17	[176]	2+1	A	■	○	★	0.217(9)(5)	45.6(7.6)(3.8)
Fu 13	[35]	2+1	A	■	■	★	0.214(4)(7)	43.2(3.5)(5.6)
Fu 11	[177]	2+1	A	■	■	★	0.186(2)	18.7(1.2)
ETM 16C	[37]	2	A	★	■	★	0.198(9)(6)	30(8)(6)
Colangelo 01	[13]						0.220(5) <sub>tot</sub>	
Caprini 11	[32]						0.2198(46) <sub>stat</sub> (16) <sub>syst</sub> (64) <sub>th</sub>	

Collaboration	Ref.	$N_f$	Publication status	chiral extrapolation	continuum extrapolation	finite volume	$a_0^2 M_\pi$	$\ell_{\pi\pi}^2$
ETM 15E	[36]	2+1+1	A	○	★	★	-0.0442(2)( <sub>0</sub> <sup>4</sup> )	3.79(0.61)( <sup>+1.34</sup> <sub>-0.11</sub> )
PACS-CS 13	[178]	2+1	A	★	■	■	-0.04263(22)(41)	
Fu 13	[35]	2+1	A	■	■	★	-0.04430(25)(40)	3.27(0.77)(1.12)
Fu 11	[177]	2+1	A	■	■	★	-0.0416(2)	11.6(9)
NPLQCD 11A	[179]	2+1	A	■	■	★	-0.0417(07)(02)(16)	
NPLQCD 07	[33]	2+1	A	■	■	■	-0.04330(42) <sub>tot</sub>	
NPLQCD 05	[180]	2+1	A	■	■	■	-0.0426(06)(03)(18)	
Yagi 11	[181]	2	P	○	■	■	-0.04410(69)(18)	
ETM 09G	[34]	2	A	○	○	○	-0.04385(28)(38)	4.65(0.85)(1.07)
CP-PACS 04	[182]	2	A	■	■	★	-0.0413(29)	
Colangelo 01	[13]						-0.0444(10) <sub>tot</sub>	
Caprini 11	[32]						-0.0445(11) <sub>stat</sub> (4) <sub>syst</sub> (8) <sub>th</sub>	

Table 23: Summary of  $\pi$ - $\pi$  scattering data in the  $I = 0$  (top) and  $I = 2$  (bottom) channels. In our view the paper Fu 17 contains one pion mass at  $a \simeq 0.09\text{fm}$  and another one at  $a \simeq 0.06\text{fm}$ . The results of ETM 15E and NPLQCD 11A have been adapted to our sign convention. The results of Refs. [13, 32] allow for a cross-check with phenomenology.

the  $I = 0$  scattering length carries information about  $\frac{20}{21}\bar{\ell}_1 + \frac{40}{21}\bar{\ell}_2 - \frac{5}{14}\bar{\ell}_3 + 2\bar{\ell}_4$ . And from Eqs. (109, 111) it follows that the  $I = 2$  counterpart carries information about the linear combination  $\frac{4}{3}\bar{\ell}_1 + \frac{8}{3}\bar{\ell}_2 - \frac{1}{2}\bar{\ell}_3 - 2\bar{\ell}_4$ . We prefer quoting the dimensionless products  $a_0^I M_\pi$  (at the physical mass point) over the aforementioned linear combinations to ease comparison with phenomenology. In Tab. 23 we summarize the lattice information on  $a_0^{I=0} M_\pi$  and  $a_0^{I=2} M_\pi$  at the physical mass point. We are aware of at least one additional work, Ref. [183], which has a technical focus and determines a scattering length away from the physical point, and which, for this reason, is not included in Tab. 23. We remind the reader that a lattice computation of  $a_0^{I=0} M_\pi$  involves quark-loop disconnected contributions, which tend to be very noisy and hence require lots of statistics. To date there are three pioneering calculations, but none of them is free of red tags. The situation is slightly better for  $a_0^{I=2} M_\pi$ ; there is one computation at  $N_f = 2$  and one at  $N_f = 2 + 1 + 1$  that would qualify for a FLAG average. Still, since in the much better populated category of  $N_f = 2 + 1$  studies there is currently no computation without a red tag, we feel it is appropriate to postpone any form of averaging to the next edition of FLAG, when hopefully qualifying computations (at least for  $a_0^{I=2} M_\pi$ ) are available at each  $N_f$  considered.

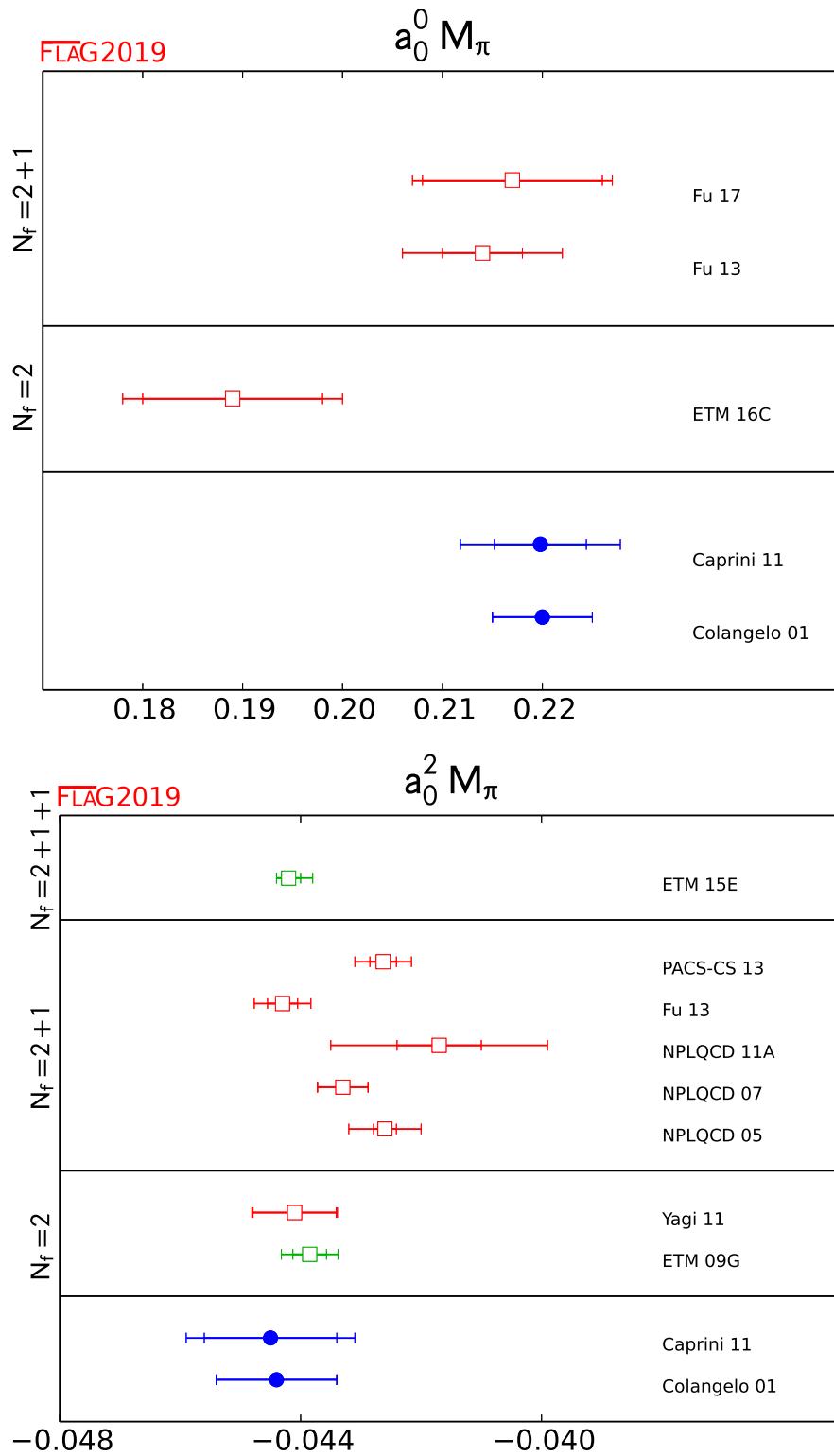
#### 5.2.4 Epilogue

In this subsection there are several quantities for which only one qualifying (“all-green”) determination is available for a given  $SU(2)$  LEC. Obviously the phenomenologically oriented reader is encouraged to use such a value (as provided in our tables) and to cite the original work. We hope that the lattice community will come up with further computations, in particular for  $N_f = 2 + 1 + 1$ , such that a fair comparison of different works is possible at any  $N_f$ , and eventually a statement can be made about the presence or absence of an  $N_f$ -dependence of  $SU(2)$  LECs.

What can be learned about the convergence pattern of  $SU(2)$   $\chi$ PT from varying the fit ranges (in  $m_{ud}$ ) of the pion mass and decay constant (i.e., the quantities from which  $\bar{\ell}_3, \bar{\ell}_4$  are derived) is discussed in Ref. [184], where also the usefulness of comparing results from the  $x$  and the  $\xi$  expansion (with material taken from Ref. [109]) is emphasized.

Perhaps the most important physics result of this subsection is that the lattice simulations confirm the approximate validity of the Gell-Mann-Oakes-Renner formula and show that the square of the pion mass indeed grows in proportion to  $m_{ud}$ . The formula represents the leading term of the chiral series and necessarily receives corrections from higher orders. At first nonleading order, the correction is determined by the effective coupling constant  $\bar{\ell}_3$ . The results collected in Tab. 21 and in the top panel of Fig. 14 show that  $\bar{\ell}_3$  is now known quite well. They corroborate the conclusion drawn already in Ref. [185]: the lattice confirms the estimate of  $\bar{\ell}_3$  derived in Ref. [1]. In the graph of  $M_\pi^2$  versus  $m_{ud}$ , the values found on the lattice for  $\bar{\ell}_3$  correspond to remarkably little curvature. In other words, the Gell-Mann-Oakes-Renner formula represents a reasonable first approximation out to values of  $m_{ud}$  that exceed the physical value by an order of magnitude.

As emphasized by Stern and collaborators [186–188], the analysis in the framework of  $\chi$ PT is coherent only if (i) the leading term in the chiral expansion of  $M_\pi^2$  dominates over the remainder and (ii) the ratio  $m_s/m_{ud}$  is close to the value 25.6 that follows from Weinberg’s leading-order formulae. In order to investigate the possibility that one or both of these conditions might fail, the authors proposed a more general framework, referred to as “generalized  $\chi$ PT”, which includes (standard)  $\chi$ PT as a special case. The results found on

Figure 16: Summary of the  $\pi$ - $\pi$  scattering lengths  $a_0^0 M_\pi$  (top) and  $a_0^2 M_\pi$  (bottom).



the lattice demonstrate that QCD does satisfy both of the above conditions. Hence, in the context of QCD, the proposed generalization of the effective theory does not appear to be needed. There is a modified version, however, referred to as “re-summed  $\chi$ PT” [189], which is motivated by the possibility that the Zweig-rule violating couplings  $L_4$  and  $L_6$  might be larger than expected. The available lattice data does not support this possibility, but they do not rule it out either (see Sec. 5.3 for details).

### 5.3 Extraction of $SU(3)$ low-energy constants

To date, there are three comprehensive  $SU(3)$  papers with results based on lattice QCD with  $N_f = 2 + 1$  dynamical flavours [110, 145, 146], and one more with results based on  $N_f = 2 + 1 + 1$  dynamical flavours [135]. It is an open issue whether the data collected at  $m_s \simeq m_s^{\text{phys}}$  allows for an unambiguous determination of  $SU(3)$  low-energy constants (cf. the discussion in Ref. [146]). To make definite statements one needs data at considerably smaller  $m_s$ , and so far only MILC has some [110]. We are aware of a few papers with a result on one  $SU(3)$  low-energy constant each, which we list for completeness. Some particulars of the computations are listed in Tab. 24.

#### 5.3.1 Results for the LO and NLO $SU(3)$ LECs

Results for the  $SU(3)$  low-energy constants of leading order are found in Tab. 24 and analogous results for some of the effective coupling constants that enter the chiral  $SU(3)$  Lagrangian at NLO are collected in Tabs. 25 and 26. From PACS-CS [145] only those results are quoted that have been *corrected* for finite-size effects (misleadingly labelled “w/FSE” in their tables). For staggered data our colour-coding rule states that  $M_\pi$  is to be understood as  $M_\pi^{\text{RMS}}$ . The rating of Refs. [110, 114] is based on the information regarding the RMS masses given in Ref. [112]. Finally, Boyle 14 [192] and Boito 15 [191] are “hybrids” in the sense that they combinelattice data and experimental information.<sup>14</sup>

A graphical summary of the lattice results for the coupling constants  $L_4$ ,  $L_5$ ,  $L_6$  and  $L_8$ , which determine the masses and the decay constants of the pions and kaons at NLO of the chiral  $SU(3)$  expansion, is displayed in Fig. 17, along with the two phenomenological determinations quoted in the above tables. The overall consistency seems fairly convincing. In spite of this apparent consistency, there is a point that needs to be clarified as soon as possible. Some collaborations (RBC/UKQCD and PACS-CS) find that they are having difficulties in fitting their partially quenched data to the respective formulae for pion masses above  $\simeq 400$  MeV. Evidently, this indicates that the data is stretching the regime of validity of these formulae. To date it is, however, not clear which subset of the data causes the troubles, whether it is the unitary part extending to too large values of the quark masses or whether it is due to  $m^{\text{val}}/m^{\text{sea}}$  differing too much from one. In fact, little is known, in the framework of partially quenched  $\chi$ PT, about the *shape* of the region of applicability in the  $m^{\text{val}}$  versus  $m^{\text{sea}}$  plane for fixed  $N_f$ . This point has also been emphasized in Ref. [117].

To date only the computations MILC 10 [114] (as an update of MILC 09 and MILC 09A) and HPQCD 13A [135] are free of red tags. Since they use different  $N_f$  (in the former case  $N_f = 2 + 1$ , in the latter case  $N_f = 2 + 1 + 1$ ) we stay away from averaging them. Hence the

<sup>14</sup> It is worth emphasizing that our rating cannot do justice to “hybrid” papers, since it is exclusively based on the lattice information that makes it into the analysis. This is a consequence of us being unable to rate the quality of the experimental information involved.

Collaboration	Ref.	$N_f$	publication status	chiral extrapolation	continuum extrapolation	finite volume	$F_0$	$F/F_0$	$B/B_0$
JLQCD/TWQCD 10A	[138]	3	A	■	■	■	71(3)(8)		
MILC 10	[114]	2+1	C	○	★	★	80.3(2.5)(5.4)		
MILC 09A	[112]	2+1	C	○	★	★	78.3(1.4)(2.9)	1.104(3)(41)	1.21(4) $^{(+5)}_{(-6)}$
MILC 09	[110]	2+1	A	○	★	★		1.15(5) $^{(+13)}_{(-03)}$	1.15(16) $^{(+39)}_{(-13)}$
PACS-CS 08	[145]	2+1	A	★	■	■	83.8(6.4)	1.078(44)	1.089(15)
RBC/UKQCD 08	[146]	2+1	A	○	■	○	66.1(5.2)	1.229(59)	1.03(05)

Collaboration	Ref.	$N_f$	publication status	chiral extrapolation	continuum extrapolation	finite volume	renormalization	$\Sigma_0^{1/3}$	$\Sigma/\Sigma_0$
JLQCD/TWQCD 10A	[138]	3	A	■	■	■	★	214(6)(24)	1.31(13)(52)
MILC 09A	[112]	2+1	C	○	★	★	○	245(5)(4)(4)	1.48(9)(8)(10)
MILC 09	[110]	2+1	A	○	★	★	○	242(9) $^{(+05)}_{(-17)}$ (4)	1.52(17) $^{(+38)}_{(-15)}$
PACS-CS 08	[145]	2+1	A	★	■	■	■	290(15)	1.245(10)
RBC/UKQCD 08	[146]	2+1	A	○	■	○	★		1.55(21)

Table 24: Lattice results for the low-energy constants  $F_0$ ,  $B_0$  (in MeV) and  $\Sigma_0 \equiv F_0^2 B_0$ , which specify the effective  $SU(3)$  Lagrangian at leading order. The ratios  $F/F_0$ ,  $B/B_0$ ,  $\Sigma/\Sigma_0$ , which compare these with their  $SU(2)$  counterparts, indicate the strength of the Zweig-rule violations in these quantities (in the large- $N_c$  limit, they tend to unity). Numbers in slanted fonts are calculated by us, from the information given in the references.

Collaboration	Ref.	$N_f$	A	publication status			$10^3 L_4$	$10^3 L_6$	$10^3(2L_6 - L_4)$
				chiral extrapolation	continuum extrapolation	finite volume			
HPQCD 13A	[135]	2+1+1	A	★	○	★	0.09(34)	0.16(20)	0.22(17)
JLQCD/TWQCD 10A	[138]	3	A	■	■	■		0.03(7)(17)	
MILC 10	[114]	2+1	C	○	★	★	-0.08(22) <sup>(+57)</sup> <sub>(-33)</sub>	-0.02(16) <sup>(+33)</sup> <sub>(-21)</sub>	0.03(24) <sup>(+32)</sup> <sub>(-27)</sub>
MILC 09A	[112]	2+1	C	○	★	★	0.04(13)(4)	0.07(10)(3)	0.10(12)(2)
MILC 09	[110]	2+1	A	○	★	★	0.1(3) <sup>(+3)</sup> <sub>(-1)</sub>	0.2(2) <sup>(+2)</sup> <sub>(-1)</sub>	0.3(1) <sup>(+2)</sup> <sub>(-3)</sub>
PACS-CS 08	[145]	2+1	A	★	■	■	-0.06(10)(-)	0.02(5)(-)	0.10(2)(-)
RBC/UKQCD 08	[146]	2+1	A	○	■	○	0.14(8)(-)	0.07(6)(-)	0.00(4)(-)
Bijnens 11	[43]						0.75(75)	0.29(85)	-0.17(1.86)
Gasser 85	[2]						-0.3(5)	-0.2(3)	-0.1(8)
Collaboration	Ref.	$N_f$	A	publication status			$10^3 L_5$	$10^3 L_8$	$10^3(2L_8 - L_5)$
HPQCD 13A	[135]	2+1+1	A	★	○	★	1.19(25)	0.55(15)	-0.10(20)
MILC 10	[114]	2+1	C	○	★	★	0.98(16) <sup>(+28)</sup> <sub>(-41)</sub>	0.42(10) <sup>(+27)</sup> <sub>(-23)</sub>	-0.15(11) <sup>(+45)</sup> <sub>(-19)</sub>
MILC 09A	[112]	2+1	C	○	★	★	0.84(12)(36)	0.36(5)(7)	-0.12(8)(21)
MILC 09	[110]	2+1	A	○	★	★	1.4(2) <sup>(+2)</sup> <sub>(-1)</sub>	0.8(1)(1)	0.3(1)(1)
PACS-CS 08	[145]	2+1	A	★	■	■	1.45(7)(-)	0.62(4)(-)	-0.21(3)(-)
RBC/UKQCD 08	[146]	2+1	A	○	■	○	0.87(10)(-)	0.56(4)(-)	0.24(4)(-)
NPLQCD 06	[190]	2+1	A	■	■	■	1.42(2) <sup>(+18)</sup> <sub>(-54)</sub>		
Bijnens 11	[43]						0.58(13)	0.18(18)	-0.22(38)
Gasser 85	[2]						1.4(5)	0.9(3)	0.4(8)

Table 25: Low-energy constants of the  $SU(3)$  Lagrangian at NLO with running scale  $\mu = 770$  MeV (the values in Refs. [2, 110, 112, 114, 135] are evolved accordingly). The MILC 10 entry for  $L_6$  is obtained from their results for  $2L_6 - L_4$  and  $L_4$  (similarly for other entries in slanted fonts).

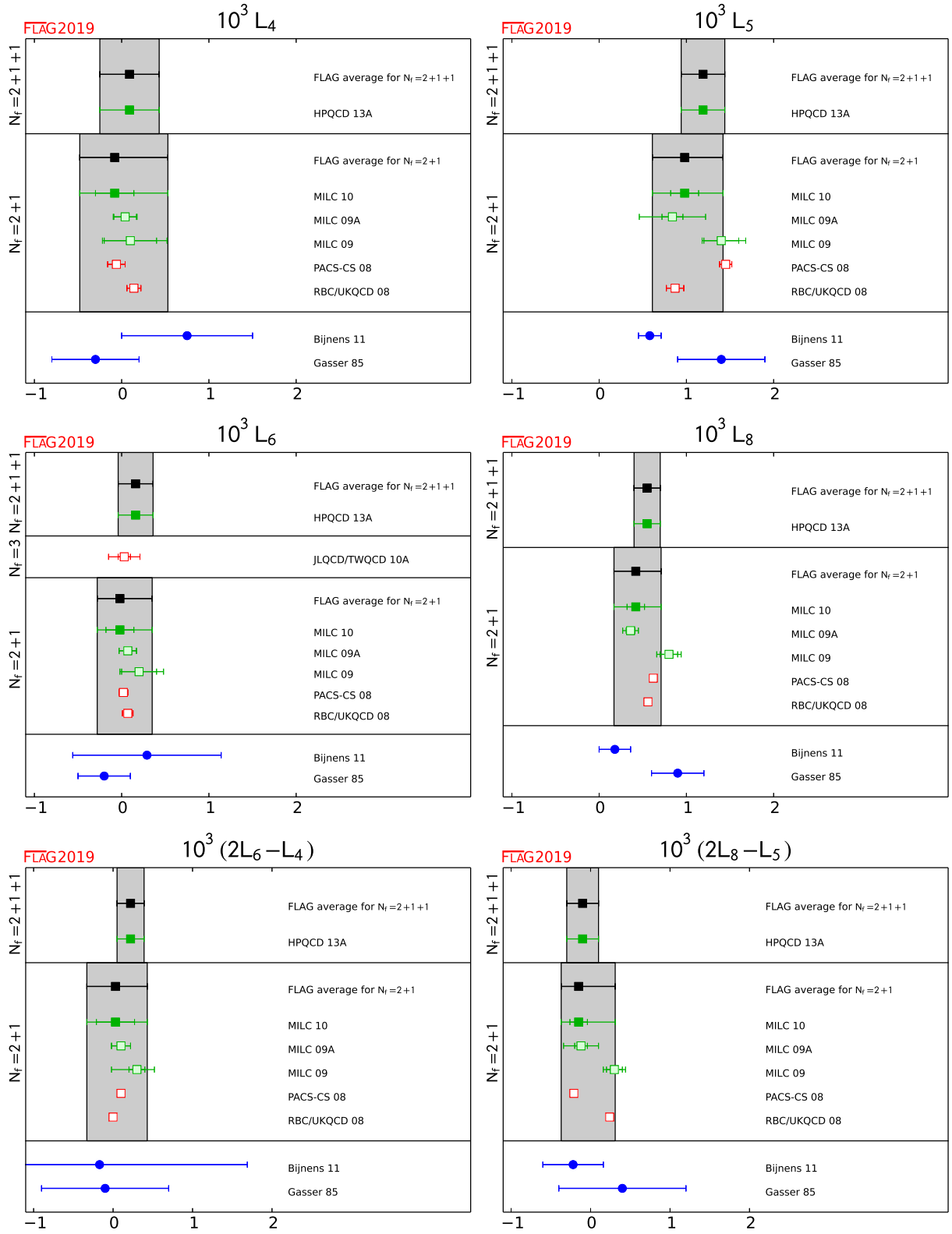


Figure 17: Low-energy constants that enter the effective  $SU(3)$  Lagrangian at NLO, with scale  $\mu = 770$  MeV. The grey bands labelled as “FLAG average” coincide with the results of MILC 10 [114] for  $N_f = 2 + 1$  and with HPQCD 13A [135] for  $N_f = 2 + 1 + 1$ , respectively.

Collaboration	Ref.	$N_f$	A	publication status	chiral extrapolation	continuum extrapolation	finite volume	$10^3 L_9$	$10^3 L_{10}$
Boito 15	[191]	2+1	A	★	■	★			-3.50(17)
JLQCD 15A	[168]	2+1	A	○	■	○		4.6(1.1) <sup>(+0.1)</sup> <sub>(-0.5)</sub> (0.4)	
Boyle 14	[192]	2+1	A	★	○	★			-3.46(32)
JLQCD 14	[169]	2+1	A	★	■	■		2.4(0.8)(1.0)	
RBC/UKQCD 09	[193]	2+1	A	○	■	○			-5.7(11)(07)
RBC/UKQCD 08A	[139]	2+1	A	■	■	○		3.08(23)(51)	
JLQCD 08A	[194]	2	A	○	■	■			-5.2(2) <sup>(+5)</sup> <sub>(-3)</sub>
Bijnens 02	[195]							5.93(43)	
Davier 98	[196]								-5.13(19)
Gasser 85	[2]							6.9(7)	-5.5(7)

Table 26: Low-energy constants of the  $SU(3)$  Lagrangian at NLO with running scale  $\mu = 770 \text{ MeV}$  (the values in Ref. [2] are evolved accordingly). The JLQCD 08A result for  $\ell_5(770 \text{ MeV})$  [despite the paper saying  $L_{10}(770 \text{ MeV})$ ] was converted to  $L_{10}$  with the GL 1-loop formula, assuming that the difference between  $\bar{\ell}_5(m_s = m_s^{\text{phys}})$  (needed in the formula) and  $\bar{\ell}_5(m_s = \infty)$  (computed by JLQCD) is small. Note that for the “hybrid” papers Boyle 14 and Boito 15 the ratings, referring to the lattice data only (cf. footnote 14), are incomplete and the reader may be well advised to prefer the latter result over the former.

situation remains unsatisfactory in the sense that for each  $N_f$  only a single determination of high standing is available. Accordingly, for the phenomenologically oriented reader there is no alternative to using the results of MILC 10 [114] for  $N_f = 2 + 1$  and HPQCD 13A [135] for  $N_f = 2 + 1 + 1$ , as given in Tab. 25.

### 5.3.2 Epilogue

In this subsection we find ourselves again in the unpleasant situation that only one qualifying (“all-green”) determination is available (at a given  $N_f$ ) for several LECs in the  $SU(3)$  framework, both at LO and at NLO. Obviously the phenomenologically oriented reader is encouraged to use such a value (as provided in our tables) and to cite the original work. Again our hope is that further computations would become available in forthcoming years, such that a fair comparison of different works will become possible both at  $N_f = 2 + 1$  and  $N_f = 2 + 1 + 1$ .

In the large- $N_c$  limit, the Zweig rule becomes exact, but the quarks have  $N_c = 3$ . The work done on the lattice is ideally suited to confirm or disprove the approximate validity of this rule for QCD. Two of the coupling constants entering the effective  $SU(3)$  Lagrangian at NLO disappear when  $N_c$  is sent to infinity:  $L_4$  and  $L_6$ . The upper part of Tab. 25 and the left panels of Fig. 17 show that the lattice results for these quantities are in good agreement. At the scale  $\mu = M_\rho$ ,  $L_4$  and  $L_6$  are consistent with zero, indicating that these constants do approximately obey the Zweig rule. As mentioned above, the ratios  $F/F_0$ ,  $B/B_0$  and  $\Sigma/\Sigma_0$  also test the validity of this rule. Their expansion in powers of  $m_s$  starts with unity and the contributions of first order in  $m_s$  are determined by the constants  $L_4$  and  $L_6$ , but they also contain terms of higher order. Apart from measuring the Zweig-rule violations, an accurate

determination of these ratios will thus also allow us to determine the range of  $m_s$ , where the first few terms of the expansion represent an adequate approximation. Unfortunately, at present, the uncertainties in the lattice data on these ratios are too large to draw conclusions, both concerning the relative size of the subsequent terms in the chiral series and concerning the magnitude of the Zweig-rule violations. The data seems to confirm the *paramagnetic inequalities* [188], which require  $F/F_0 > 1$ ,  $\Sigma/\Sigma_0 > 1$ , and it appears that the ratio  $B/B_0$  is also larger than unity, but the numerical results need to be improved before further conclusions can be drawn.

The matching formulae in Ref. [2] can be used to calculate the  $SU(2)$  couplings  $\bar{\ell}_i$  from the  $SU(3)$  couplings  $L_j$ . Results obtained in this way are included in Tab. 21, namely, the entries explicitly labelled “ $SU(3)$ -fit” as well as MILC 10. Within the still rather large errors, the converted LECs from the  $SU(3)$  fits agree with those directly determined within  $SU(2)$   $\chi$ P.T. We plead with every collaboration performing  $N_f = 2 + 1$  simulations to also *directly* analyse their data in the  $SU(2)$  framework. In practice, lattice simulations are performed at values of  $m_s$  close to the physical value and the results are then corrected for the difference of  $m_s$  from its physical value. If simulations with more than one value of  $m_s$  have been performed, this can be done by interpolation. Alternatively one can use the technique of *re-weighting* (for a review see, e.g., Ref. [197]) to shift  $m_s$  to its physical value. From a conceptual view, the most pressing issue is the question about the convergence of the  $SU(3)$  framework for  $m_s \simeq m_s^{\text{phys}}$ . In line with what has been said in the very first paragraph of this subsection, we plead with every collaboration involved in  $N_f = 2 + 1$  (or  $2 + 1 + 1$ ) simulations, to add ensembles with  $m_s \ll m_s^{\text{phys}}$  to their database, as this allows them to address the issue properly.

### 5.3.3 Outlook

A relatively new development is that several lattice groups started extracting low-energy constants from  $\pi$ - $\pi$  scattering data. In the isospin  $I = 0$  and  $I = 2$  channels the results of these studies are typically expressed in  $SU(2)$  terminology [i.e., through the linear combinations of  $\bar{\ell}_i$  that appear in Eqs. (110, 111)], even if the studies are performed with  $N_f = 2 + 1$  or  $N_f = 2 + 1 + 1$  lattices. This is why the respective compilation, in the form of Tab. 23, is found in subsection 5.2. Still, we remind the reader that the most generic way of presenting the results is through the scattering lengths  $a_0^0, a_0^2$ , as featured in Fig. 16.

In the isospin  $I = 1$  channel the situation is different. The most obvious difference is that this channel is dominated by a low-lying (and fairly broad) resonance, the well-known  $\rho(770)$ . Lattice data would naturally include contributions where this resonance features in internal propagators. In the chiral  $SU(2)$  and  $SU(3)$  frameworks, on the other hand, there is no degree of freedom with the quantum numbers of a vector meson [1, 2]. Its contributions are subsumed in the low-energy constants, and an important insight is that the theory is built in such a way that it would correctly describe the low-energy tail of such contributions [198, 199]. Of course, one may extend the theory as to include vector mesons as explicit degrees of freedom, but this raises the issue of how to avoid double counting. Another way of phrasing this is to say that the low-energy constants in such an extended theory are logically different from those of  $\chi$ P.T, since they should *not* include the vector meson contributions. Moreover, such extensions of  $\chi$ P.T seem to lack a clear-cut power-counting scheme. In any case, since the literature on this topic is mostly in terms of the  $SU(3)$  chiral Lagrangian (and its extensions), it is natural to expect that lattice results concerning the  $I = 1$  channel will be expressed in terms of  $SU(3)$  LECs.

In this spirit we like to mention that there are considerable efforts, on the lattice, to get a better handle on the  $I = 1$  channel of  $\pi$ - $\pi$  scattering; we are aware of Refs. [183, 200–213]. Some of these try to extract the NLO LEC combinations  $2L_4 + L_5$  and  $2L_1 - L_2 + L_3$ , sometimes with a single lattice spacing and with little or limited variation in the pion mass. We feel confident that these calculations will mature quickly, and eventually yield results on LECs (or linear combinations thereof) that might appear here, in the  $SU(3)$  subsection of a future edition.

We should add that there are claims that low-order calculations in extended chiral frameworks might allow for a simpler description of lattice data with an extended range of light ( $m_{ud}$ ) and strange ( $m_s$ ) quark masses than high-order calculations in the standard (vector-meson free)  $SU(3)$   $\chi$ PT framework, see, e.g., Ref. [214]. While it is too early to jump to conclusions, we see nothing wrong in testing such frameworks as an effective (or model) description of lattice data on masses and decay constants of pseudoscalar mesons. But we caution that whenever LECs are extracted, it is worth scrutinizing the details of how this is done, for reasons that are intricately linked to the “double counting” issue mentioned above.

Last but not least we should mention that also baryon  $\chi$ PT results can be used to learn something about the chiral LECs in the meson sector. For instance Refs. [215, 216] give values for  $2L_6 - L_4$ ,  $2L_8 - L_5$ , and  $L_8 + 3L_7$  from three different fits to lattice-QCD baryon masses by other groups. The quoted LECs enter via the pion- and kaon-mass dependence on quark masses. In our view checking whether the indirect determination of  $SU(3)$  meson LECs, via baryonic properties, agrees with the direct determination in the meson sector is a promising direction for forthcoming years.



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